

Research Article

On F -Algebras M^p ($1 < p < \infty$) of Holomorphic Functions

Romeo Meštrović

Maritime Faculty, University of Montenegro, Dobrota 36, 85330 Kotor, Montenegro

Correspondence should be addressed to Romeo Meštrović; romeo@ac.me

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We consider the classes M^p ($1 < p < \infty$) of holomorphic functions on the open unit disk \mathbb{D} in the complex plane. These classes are in fact generalizations of the class M introduced by Kim (1986). The space M^p equipped with the topology given by the metric ρ_p defined by $\rho_p(f, g) = \|f - g\|_p = \left(\int_0^{2\pi} \log^p(1 + M(f - g)(\theta)) (d\theta/2\pi)\right)^{1/p}$, with $f, g \in M^p$ and $Mf(\theta) = \sup_{0 \leq r < 1} |f(re^{i\theta})|$, becomes an F -space. By a result of Stoll (1977), the Privalov space N^p ($1 < p < \infty$) with the topology given by the Stoll metric d_p is an F -algebra. By using these two facts, we prove that the spaces M^p and N^p coincide and have the same topological structure. Consequently, we describe a general form of continuous linear functionals on M^p (with respect to the metric ρ_p). Furthermore, we give a characterization of bounded subsets of the spaces M^p . Moreover, we give the examples of bounded subsets of M^p that are not relatively compact.

1. Introduction and Preliminaries

Let \mathbb{D} denote the open unit disk in the complex plane and let \mathbb{T} denote the boundary of \mathbb{D} . Let $L^q(\mathbb{T})$ ($0 < q \leq \infty$) be the familiar Lebesgue spaces on the unit circle \mathbb{T} .

Following Kim ([1, 2]), the class M consists of all holomorphic functions f on \mathbb{D} for which

$$\int_0^{2\pi} \log^+ Mf(\theta) \frac{d\theta}{2\pi} < \infty, \quad (1)$$

where $\log^+ |a| = \max\{\log a, 0\}$ and

$$Mf(\theta) = \sup_{0 \leq r < 1} |f(re^{i\theta})| \quad (2)$$

is the maximal radial function of f . The Privalov class N^p ($1 < p < \infty$) consists of all holomorphic functions f on \mathbb{D} for which

$$\sup_{0 < r < 1} \int_0^{2\pi} (\log^+ |f(re^{i\theta})|)^p \frac{d\theta}{2\pi} < +\infty. \quad (3)$$

These classes were firstly considered by Privalov in [3, page 93], where N^p is denoted as A_q .

Notice that for $p = 1$, the condition (3) defines the Nevanlinna class N of holomorphic functions in \mathbb{D} . Recall that the Smirnov class N^+ is the set of all functions f holomorphic on \mathbb{D} such that

$$\lim_{r \rightarrow 1} \int_0^{2\pi} \log^+ |f(re^{i\theta})| \frac{d\theta}{2\pi} = \int_0^{2\pi} \log^+ |f^*(e^{i\theta})| \frac{d\theta}{2\pi} < +\infty, \quad (4)$$

where f^* is the boundary function of f on \mathbb{T} ; that is,

$$f^*(e^{i\theta}) = \lim_{r \rightarrow 1^-} f(re^{i\theta}) \quad (5)$$

is the radial limit of f which exists for almost every $e^{i\theta}$. We denote by H^q ($0 < q \leq \infty$) the classical Hardy space on \mathbb{D} . It is known (see [4, 5]) that

$$N^r \subset N^p \quad (r > p), \quad \bigcup_{q>0} H^q \subset \bigcap_{p>1} N^p, \quad (6)$$

$$\bigcup_{p>1} N^p \subset M \subset N^+ \subset N,$$

where the above containment relations are proper.

The study of the spaces N^p ($1 < p < \infty$) was continued in 1977 by Stoll [6] (with the notation $(\log^+ H)^\alpha$ in [6]). Further,

the topological and functional properties of these spaces were studied in [4, 5, 7–14]; typically, the notation varied and these spaces are called the Privalov spaces in [12–15].

It is well known [16, page 26] that a function $f \in N^+$ if and only if $f = IF$, where I is an inner function on \mathbb{D} and F is an outer function given by

$$F(z) = \exp\left(\int_0^{2\pi} \frac{e^{it} + z}{e^{it} - z} \log|F^*(e^{it})| \frac{dt}{2\pi}\right), \quad (7)$$

where $\log|F^*| \in L^1(\mathbb{T})$.

Privalov [3, page 98] showed that $f \in N^p$ if and only if $f = IF$, where I is an inner function on \mathbb{D} and F is an outer function as given above with $\log^+|f^*| \in L^p(\mathbb{T})$.

Stoll [6, Theorem 4.2] showed that the space N^p (with the notation $(\log^+ H)^\alpha$ in [6]) with the topology given by the metric d_p defined by

$$d_p(f, g) = \left(\int_0^{2\pi} (\log(1 + |f^*(e^{i\theta}) - g^*(e^{i\theta})|))^p \frac{d\theta}{2\pi}\right)^{1/p}, \quad f, g \in N^p \quad (8)$$

becomes an F -algebra. Recall that the function $d_1 = d$ defined on the Smirnov class N^+ by (8) with $p = 1$ induces the metric topology on N^+ . Yanagihara [17] showed that, under this topology, N^+ is an F -space.

Furthermore, in connection with the spaces N^p ($1 < p < \infty$), Stoll [6] (also see [7] and [12, Section 3]) also studied the spaces F^q ($0 < q < \infty$) (with the notation $F_{1/q}$ in [6]), consisting of those functions f holomorphic on \mathbb{D} for which

$$\lim_{r \rightarrow 1} (1 - r)^{1/q} \log^+ M_\infty(r, f) = 0, \quad (9)$$

where

$$M_\infty(r, f) = \max_{|z| \leq r} |f(z)|. \quad (10)$$

Stoll [6, Theorem 3.2] proved that the space F^q with the topology given by the family of seminorms $\{\|\cdot\|_{q,c}\}_{c>0}$ defined for $f \in F^q$ as

$$\|f\|_{q,c} = \sum_{n=0}^{\infty} |\hat{f}(n)| e^{-cn^{1/(q+1)}} < \infty, \quad (11)$$

for each $c > 0$, where $\hat{f}(n)$ is the n th Taylor coefficient of f , becomes a countably normed Fréchet algebra. By a result of Eoff [7, Theorem 4.2], F^p is the Fréchet envelope of N^p , and hence F^p and N^p have the same topological duals.

Here, as always in the sequel, we will need some of Stoll's results concerning the spaces F^q only with $1 < q < \infty$, and hence we will assume that $q = p > 1$ is any fixed number.

The study of the class M has been extensively investigated by Kim in [1, 2], Gavrilov and Zaharyan [18], and Nawrocky [19]. Kim [2, Theorems 3.1 and 6.1] showed that the space M with the topology given by the metric ρ defined by

$$\rho(f, g) = \int_0^{2\pi} \log(1 + M(f - g)(\theta)) \frac{d\theta}{2\pi}, \quad f, g \in M \quad (12)$$

becomes an F -algebra. Furthermore, Kim [2, Theorems 5.2 and 5.3] gave an incomplete characterization of multipliers of M into H^∞ . Consequently, the topological dual of M is not exactly determined in [2], but, as an application, it was proved in [2, Theorem 5.4] (also cf. [19, Corollary 4]) that M is not locally convex space. Furthermore, the space M is not locally bounded ([2, Theorem 4.5] and [19, Corollary 5]).

Although the class M is essentially smaller than the class N^+ , Nawrocky [19] showed that the class M and the Smirnov class N^+ have the same corresponding locally convex structure which was already established by Yanagihara for the Smirnov class in [17, 20]. More precisely, it was proved in [19, Theorem 1] that the Fréchet envelope of the class M can be identified with the space F^+ of holomorphic functions on the open unit disk \mathbb{D} such that

$$\|f\|_c := \sum_{n=0}^{\infty} |\hat{f}(n)| e^{-c\sqrt{n}} < \infty, \quad (13)$$

for each $c > 0$, where $\hat{f}(n)$ is the n th Taylor coefficient of f . Notice that F^+ coincides with the space F^1 defined above. It was shown in [17, 21] that F^+ is actually the containing Fréchet space for N^+ . Moreover, Nawrocky [19, Theorem 1] characterized the set of all continuous linear functionals on M which by a result of Yanagihara [17] coincides with those on the Smirnov class N^+ .

Motivated by the mentioned investigations of the classes M and N^+ , and the fact that the classes N^p ($1 < p < \infty$) are generalizations of the Smirnov class N^+ , in Section 2, we consider the classes M^p ($1 < p < \infty$) as generalizations of the class M . Accordingly, the class M^p ($1 < p < \infty$) consists of all holomorphic functions f on \mathbb{D} for which

$$\int_0^{2\pi} (\log^+ Mf(\theta))^p \frac{d\theta}{2\pi} < \infty. \quad (14)$$

Obviously,

$$\bigcup_{p>1} M^p \subset M. \quad (15)$$

Following [2], by analogy with the space M , the space M^p is equipped with the topology induced by the metric ρ_p defined as

$$\rho_p(f, g) = \|f - g\|_p = \left(\int_0^{2\pi} \log^p(1 + M(f - g)(\theta)) \frac{d\theta}{2\pi}\right)^{1/p}, \quad (16)$$

with $f, g \in M^p$.

In Section 2, we give the integral limit criterion for a function f holomorphic on the disk \mathbb{D} to belong to the class M^p (Lemma 3). Furthermore, we prove that the space M^p is closed under integration (Theorem 4).

In Section 3 we study and compare the uniform convergence on compact subsets of \mathbb{D} and the convergences induced by the metrics ρ_p and d_p in the space M^p , respectively. It is proved (Theorem 11) that $M^p = N^p$ for each $p > 1$.

It is proved in Section 4 that the space of all polynomials on \mathbb{C} is a dense subset of M^p (Theorem 13). Hence, M^p is a separable metric space. We show that the space M^p with the topology given by the metric ρ_p becomes an F -space (Theorem 15). As an application, we prove that the metric spaces (M^p, ρ_p) and (N^p, d_p) have the same topological structure (Theorem 16). Consequently, we obtain a characterization of continuous linear functionals on M^p (Theorem 17). Notice that Theorem 17 with $p = 1$ characterizes the set of all continuous linear functionals on the space M , which is in fact the Nawrocky result [19, Theorem 1] mentioned above.

In Section 5 we obtain a characterization of bounded subsets of the spaces $M^p (= N^p)$ (Theorem 19). It is also given another necessary condition for a subset of M^p (N^p) to be bounded (Theorem 22). Finally, we give the examples of bounded subsets of M^p that are not relatively compact (Theorem 24).

2. The Classes M^p ($1 < p < \infty$)

Recall that, for a fixed $1 < p < \infty$, the class M^p consists of all holomorphic functions f on \mathbb{D} for which

$$\int_0^{2\pi} (\log^+ Mf(\theta))^p \frac{d\theta}{2\pi} < \infty. \tag{17}$$

Combining the inequalities $\log(|a| + 1) \leq \log^+ |a| + \log 2$ and $(|b| + |c|)^p \leq 2^{p-1}(|b|^p + |c|^p)$, we obtain $\log^p(|a| + 1) \leq 2^{p-1}((\log^+ |a|)^p + (\log 2)^p)$ ($a, b, c \in \mathbb{C}$). The last inequality implies the fact that the condition (17) is equivalent to

$$\|f\|_p := \left(\int_0^{2\pi} (\log(1 + Mf(\theta)))^p \frac{d\theta}{2\pi} \right)^{1/p} < \infty. \tag{18}$$

Lemma 1. *The function $\|\cdot\|_p$ defined on M^p by (18) satisfies the following conditions:*

$$\|f + g\|_p \leq \|f\|_p + \|g\|_p \quad \forall f, g \in M^p, \tag{19}$$

$$\|fg\|_p \leq \|f\|_p + \|g\|_p \quad \forall f, g \in M^p. \tag{20}$$

Hence, M^p is an algebra with respect to the pointwise addition and multiplication of functions.

Proof. Combining the inequality

$$\begin{aligned} \log(1 + M(f + g)(\theta)) \\ \leq \log(1 + Mf(\theta)) + \log(1 + Mg(\theta)), \end{aligned} \tag{21}$$

$f, g \in M^p$

with Minkowski's integral inequality (with the power p), we immediately obtain (19). Similarly, combining the inequality

$$\begin{aligned} \log(1 + M(fg)(\theta)) \\ \leq \log(1 + Mf(\theta)) + \log(1 + Mg(\theta)), \end{aligned} \tag{22}$$

$f, g \in M^p$

with Minkowski's integral inequality (with the exponent p), we obtain (20). □

Theorem 2. *The function ρ_p defined on M^p as*

$$\begin{aligned} \rho_p(f, g) &= \|f - g\|_p \\ &= \left(\int_0^{2\pi} \log^p(1 + M(f - g)(\theta)) \frac{d\theta}{2\pi} \right)^{1/p}, \end{aligned} \tag{23}$$

$f, g \in M^p$

is a translation invariant metric on M^p . Further, the space M^p is a complete metric space with respect to the metric ρ_p .

Proof. If we suppose that $\rho_p(f, g) = 0$, for some $f, g \in M^p$, then by (23) it follows that $M(f - g)(\theta) = 0$ for almost every $\theta \in [0, 2\pi]$. Hence, $f^*(e^{i\theta}) = g^*(e^{i\theta})$ for almost every $e^{i\theta} \in \mathbb{T}$, and, by Riesz uniqueness theorem, we infer that $f(z) = g(z)$ for all $z \in \mathbb{D}$. As, by (19), the triangle inequality is satisfied, it follows that ρ_p is a metric on M^p . Finally, by the obvious inequality

$$\rho_p(f + h, g + h) = \rho_p(f, g), \quad f, g, h \in M^p, \tag{24}$$

we see that ρ_p is a translation invariant metric. This concludes the proof. □

For simplicity, here as always in the sequel, we shall write M^p instead of the metric space (M^p, ρ_p) . For a function f holomorphic in \mathbb{D} and for any fixed $0 \leq \rho < 1$, denote by f_ρ the function defined on \mathbb{D} as $f_\rho(z) = f(\rho z)$, $z \in \mathbb{D}$. Furthermore, for a given holomorphic function f on \mathbb{D} , let

$$Mf_\rho(\theta) = \sup_{0 \leq r \leq \rho} |f_r(\theta)| = \sup_{0 \leq r \leq \rho} |f(re^{i\theta})|, \quad 0 \leq \rho < 1. \tag{25}$$

Lemma 3. *A function f holomorphic on the unit disk \mathbb{D} belongs to the class M^p if and only if it satisfies*

$$\begin{aligned} \lim_{\rho \rightarrow 1} \int_0^{2\pi} (\log^+ Mf_\rho(\theta))^p \frac{d\theta}{2\pi} \\ = \int_0^{2\pi} (\log^+ Mf(\theta))^p \frac{d\theta}{2\pi} < \infty. \end{aligned} \tag{26}$$

Proof. The condition (26) implies that $f \in M^p$. Conversely, assume that $f \in M^p$. Then

$$\begin{aligned} Mf_\rho(\theta) \longrightarrow Mf(\theta) \quad \text{as } \rho \longrightarrow 1 \\ \text{for almost every } \theta \in [0, 2\pi]. \end{aligned} \tag{27}$$

Since, by the assumption, $f \in M^p$; that is, $\int_0^{2\pi} (\log^+ Mf(\theta))^p \frac{d\theta}{2\pi} < \infty$, using (27) and applying the Lebesgue dominated convergence theorem, we obtain

$$\lim_{\rho \rightarrow 1} \int_0^{2\pi} (\log^+ Mf_\rho(\theta))^p \frac{d\theta}{2\pi} = \int_0^{2\pi} (\log^+ Mf(\theta))^p \frac{d\theta}{2\pi}, \tag{28}$$

which completes the proof. □

Theorem 4. *The space M^p is closed under integration.*

Proof. For a given function $f \in M^p$, define

$$F(z) = \int_0^z f(z) dz = \int_0^r f(te^{i\theta}) e^{i\theta} dt. \quad (29)$$

It follows that $|F(re^{i\theta})| \leq Mf(\theta)$, and thus $MF(\theta) \leq Mf(\theta)$ for almost every $\theta \in [0, 2\pi]$. Therefore $F \in M^p$, as desired. \square

3. Convergences in the Space M^p

Theorem 5. *For each function $f \in M^p$, $f_\rho \rightarrow f$ in M^p as $\rho \rightarrow 1^-$.*

Proof. Assume that $f \in M^p$. Since $f \in N^+$, by Fatou's theorem, the radial limit $f^*(e^{i\theta}) = \lim_{r \rightarrow 1^-} f(re^{i\theta})$ exists for almost every $\theta \in [0, 2\pi]$. Hence, for such a fixed θ , the function $t \mapsto f(te^{i\theta})$ is a continuous on $[0, 1]$, and thus it is uniformly continuous on $[0, 1]$. Therefore, for such a θ , we have

$$M(f - f_\rho)(\theta) \rightarrow 0 \quad \text{as } \rho \rightarrow 1^-. \quad (30)$$

By the inequality

$$\begin{aligned} & \log(1 + M(f - f_\rho)(\theta)) \\ & \leq \log(1 + Mf(\theta)) + \log(1 + Mf_\rho(\theta)) \\ & \leq 2 \log(1 + Mf(\theta)), \end{aligned} \quad (31)$$

in view of the fact that (18) is satisfied for $f \in M^p$, we obtain

$$\log^p(1 + M(f - f_\rho)(\theta)) \leq 2^p \log^p(1 + Mf(\theta)) \in L^1(\mathbb{T}). \quad (32)$$

From this and (30), by the Lebesgue dominated convergence theorem, we obtain

$$\begin{aligned} & \int_0^{2\pi} (\log(1 + M(f - f_\rho)(\theta)))^p \frac{d\theta}{2\pi} \rightarrow 0, \\ & \text{as } \rho \rightarrow 1^-. \end{aligned} \quad (33)$$

That is, $f_\rho \rightarrow f$ in M^p as $\rho \rightarrow 1^-$. \square

For the proof of completeness of the metric space (M^p, ρ_p) we will need the following lemmas.

Lemma 6. *If $\{f_n\}$ is a Cauchy sequence in M^p , then $(f_n)_\rho \rightarrow f_n$ in M^p as $\rho \rightarrow 1^-$, where this convergence is uniform with respect to $n \in \mathbb{N}$.*

Proof. Suppose that $\{f_n\}$ is an arbitrary Cauchy sequence in M^p . Then for a given $\varepsilon > 0$ there is a $k \in \mathbb{N}$ such that

$$\rho_p(f_n, f_m) < \frac{\varepsilon}{3} \quad \forall n, m \geq k. \quad (34)$$

So by the triangle inequality, for each $n \geq k$, we have

$$\begin{aligned} \rho_p(f_n, (f_n)_\rho) & \leq \rho_p(f_n, f_k) + \rho_p(f_k, (f_k)_\rho) \\ & \quad + \rho_p((f_k)_\rho, (f_n)_\rho) \\ & \leq 2\rho_p(f_n, f_k) + \rho_p(f_k, (f_k)_\rho) \\ & < \frac{2\varepsilon}{3} + \rho_p(f_k, (f_k)_\rho). \end{aligned} \quad (35)$$

By Theorem 5, there exists $0 < \rho_0 < 1$ sufficiently near to 1, for which

$$\begin{aligned} \rho_p(f_l, (f_l)_\rho) & < \frac{\varepsilon}{3} \quad \text{for each } \rho_0 < \rho < 1, \\ & \text{for each } l = 1, \dots, k. \end{aligned} \quad (36)$$

Hence, by (35), we immediately obtain

$$\rho_p(f_n, (f_n)_\rho) < \varepsilon \quad \text{for each } \rho_0 < \rho < 1, \text{ for each } n \in \mathbb{N}. \quad (37)$$

This completes proof of Lemma 6. \square

Lemma 7. *For any $p > 1$, $M^p \subseteq N^p$ and*

$$d_p(f, g) \leq \rho_p(f, g) \quad \text{for each } f, g \in M^p, \quad (38)$$

where d_p is the metric of N^p defined by (8).

Proof. The inclusion $M^p \subseteq N^p$ is obvious, and (38) follows by the definition of the metrics d_p and ρ_p . \square

Lemma 8. *The convergence with respect to the metric d_p of the space N^p is stronger than the metric of uniform convergence on compact subsets of the disk \mathbb{D} .*

Proof. The assertion immediately follows from the inequality on [5, page 898], which implies that, for any function $f \in N^p$ and $0 \leq r < 1$, we have

$$\max_{|z|=r} |f(z)| \leq \exp\left(\left(\frac{1+r}{1-r}\right)^{1/p} d_p(f, 0)\right). \quad (39)$$

Lemma 9. *If $\{f_n\}$ is a Cauchy sequence in the space M^p , then $\{f_n\}$ converges uniformly on compact subsets of \mathbb{D} to some holomorphic function f on \mathbb{D} .*

Proof. From the inequality (38) of Lemma 7, it follows that $\{f_n\}$ is a Cauchy sequence in N^p . Therefore, there exists $f \in N^p$ such that $f_n \rightarrow f$ in N^p , and so, by Lemma 8, $f_n \rightarrow f$ uniformly on compact subsets of \mathbb{D} . \square

The following result is a maximal theorem of Hardy and Littlewood.

Lemma 10 (see [16, page 11]). *Let $1 < p \leq +\infty$ and let φ be a function in the Lebesgue space $L^p(\mathbb{T})$. Let*

$$u(r, \theta) = \frac{1}{2\pi} \int_0^{2\pi} \frac{1 - r^2}{1 - 2r \cos(\theta - t) + r^2} \varphi(t) dt, \quad 0 \leq r < 1 \tag{40}$$

be the Poisson integral of the function φ . Define

$$U(\theta) = \sup_{0 \leq r < 1} |u(r, \theta)|, \quad \theta \in [0, 2\pi]. \tag{41}$$

Then $U \in L^p(\mathbb{T})$ and there is a constant A_p depending only on p such that

$$\|U\|_{L^p} \leq A_p \|\varphi\|_{L^p}, \tag{42}$$

where $\|\cdot\|_{L^p}$ is the usual norm of the space $L^p(\mathbb{T})$.

We are now ready to state the following result.

Theorem 11. $M^p = N^p$ for each $p > 1$; that is, the spaces M^p and N^p coincide.

Proof. By Lemma 7, $M^p \subseteq N^p$ for each $p > 1$. For the proof of the converse of this inclusion, assume that $f \in N^p$. We will show that $f \in M^p$. As noticed in Section 1, f can be factorized as

$$f(z) = I(z)F(z), \quad z \in \mathbb{D}, \tag{43}$$

where $I(z)$ is the inner function and $F(z)$ is an outer function for the class N^p ; that is,

$$F(z) = \omega \exp\left(\frac{1}{2\pi} \int_0^{2\pi} \frac{e^{it} + z}{e^{it} - z} \log |f^*(e^{it})| dt\right), \tag{44}$$

where ω is a constant of unit modulus. Furthermore, $\log^+ |f^*| \in L^p(\mathbb{T})$. As $|I(z)| \leq 1$, for each $z \in \mathbb{D}$, the previous factorization and the fact that $F \in M^p$ immediately imply that $f \in M^p$. Since

$$\Re \frac{e^{it} + z}{e^{it} - z} = \frac{1 - r^2}{1 - 2r \cos(\theta - t) + r^2}, \quad z = re^{i\theta}, \tag{45}$$

from (44), we immediately obtain

$$\begin{aligned} & \log |F(re^{i\theta})| \\ &= \frac{1}{2\pi} \int_0^{2\pi} \frac{1 - r^2}{1 - 2r \cos(\theta - t) + r^2} \log |f^*(e^{it})| dt, \tag{46} \\ & \quad 0 \leq r < 1, \end{aligned}$$

whence it follows that, for $0 \leq r < 1$,

$$\begin{aligned} & \log^+ |F(re^{i\theta})| \\ &= \left(\frac{1}{2\pi} \int_0^{2\pi} \frac{1 - r^2}{1 - 2r \cos(\theta - t) + r^2} \log |f^*(e^{it})| dt \right)^+ \\ &\leq \frac{1}{2\pi} \int_0^{2\pi} \frac{1 - r^2}{1 - 2r \cos(\theta - t) + r^2} \log^+ |f^*(e^{it})| dt. \tag{47} \end{aligned}$$

The above inequality yields

$$\begin{aligned} \log^+ MF(\theta) &\leq \sup_{0 \leq r < 1} (\log^+ |F(re^{i\theta})|) \\ &\leq \sup_{0 \leq r < 1} \left(\frac{1}{2\pi} \int_0^{2\pi} \frac{1 - r^2}{1 - 2r \cos(\theta - t) + r^2} \right. \\ &\quad \left. \times \log^+ |f^*(e^{it})| dt \right). \tag{48} \end{aligned}$$

From the above inequality and the fact that $\log^+ |f^*| \in L^p(\mathbb{T})$, we conclude by Lemma 10 that $\log^+ MF(\theta) \in L^p(\mathbb{T})$. This means that $F \in M^p$ and therefore $f \in M^p$. Thus $N^p \subseteq M^p$, and therefore $M^p = N^p$. This completes the proof. \square

Corollary 12. *Let $f \in M^p$. Then*

$$\begin{aligned} & \int_0^{2\pi} (\log^+ Mf(\theta))^p d\theta \\ & \leq C_p \int_0^{2\pi} (\log^+ |f^*(e^{i\theta})|)^p d\theta, \tag{49} \end{aligned}$$

where C_p is a nonnegative constant depending only on p .

Proof. Let F be the outer factor in the canonical factorization of $f \in M^p$. From the proof of Theorem 11, we see that for the functions $U(\theta) = \log^+ MF(\theta)$ and $\varphi(\theta) = \log^+ |f^*(e^{i\theta})|$ the inequality (42) can be applied from Lemma 10. The obtained inequality is in fact (49) with F instead of f . Since $|f(z)| \leq |F(z)|$, for each $z \in \mathbb{D}$, it follows that $Mf(\theta) \leq MF(\theta)$ at almost every $\theta \in [0, 2\pi]$; thus (49) is obviously satisfied. \square

4. M^p as an F -Algebra

Theorem 13. *The space of all polynomials over \mathbb{C} is a dense subset of M^p . Hence, M^p is a separable metric space.*

Proof. Suppose that $f \in M^p$. Since, for a fixed $0 \leq \rho < 1$, f_ρ is a holomorphic function on the closed unit disk $\overline{\mathbb{D}} : |z| \leq 1$, by Runge's theorem, f_ρ can be uniformly approximated by polynomials on $\overline{\mathbb{D}}$. This together with the fact that, by Theorem 5, $f_\rho \rightarrow f$ in M^p as $\rho \rightarrow 1^-$ yields that the space of all polynomials over \mathbb{C} is a dense subset of M^p . Therefore, the set of all polynomials whose coefficients have rational real parts and rational imaginary parts becomes a countable dense subset of M^p . This concludes the proof. \square

Theorem 14. M^p is a complete metric space.

Proof. Let $\{f_n\}$ be a Cauchy sequence in M^p . Then since N^p is complete, there is a $f \in N^p$ such that $f_n \rightarrow f$ in N^p . Since, by Theorem 11, $M^p = N^p$, it follows that $f \in M^p$, and thus it remains to show that $f_n \rightarrow f$ in M^p . By Theorem 5 and Lemma 6, there exist $0 < r < 1$ and $n_1 \in \mathbb{N}$ such that

$$\begin{aligned} \rho_p(f_r, f) &< \frac{\varepsilon}{3}, \\ \rho_p(f_n, (f_n)_r) &< \frac{\varepsilon}{3} \quad \text{for each } n \geq n_1. \tag{50} \end{aligned}$$

Since, by Lemma 9, a sequence $\{f_n\}$ converges uniformly on each closed disk $|z| \leq \rho < 1$ to some function f , it follows that there exists $n_2 \in \mathbb{N}$ such that

$$\rho_p((f_n)_r, f_r) < \frac{\varepsilon}{3} \quad \text{for each } n \geq n_2. \quad (51)$$

Taking $n_0 = \max\{n_1, n_2\}$, by (50) and (51), the triangle inequality implies that

$$\rho_p(f_n, f) < \varepsilon \quad \forall n \geq n_0. \quad (52)$$

This shows that $f_n \rightarrow f$ in M^p , which completes the proof. \square

Theorem 15. M^p with the topology given by the metric ρ_p defined by (23) becomes an F -space.

Proof. By [22, page 51], it suffices to show the following properties:

- (i) ρ_p is an additive-invariant metric,
- (ii) for any fixed $f \in M^p$, $c \mapsto cf$ is a continuous map from \mathbb{C} into M^p ,
- (iii) for any fixed $c \in \mathbb{C}$, $f \mapsto cf$ is a continuous map from M^p into M^p , and
- (iv) M^p is a complete metric space.

The assertion (i) follows from Theorem 2.

By the Lebesgue dominated convergence theorem, we have

$$\rho_p(cf, 0) = \left(\int_0^{2\pi} \log^p(1 + |c| Mf(\theta)) \frac{d\theta}{2\pi} \right)^{1/p} \rightarrow 0 \quad (53)$$

as $c \rightarrow 0$.

Let $k \in \mathbb{N}$ such that $|c| \leq k$. Then the triangle inequality yields

$$\rho_p(cf, 0) \leq \rho_p(kf, 0) \leq k\rho_p(f, 0), \quad (54)$$

whence we see that $f \mapsto cf$ is a continuous map from M^p into M^p .

The assertion (iv) is in fact the assertion of Theorem 14.

This concludes the proof. \square

We are now ready to prove that the (metric) spaces (M^p, ρ_p) and (N^p, d_p) have the same topological structure.

Theorem 16. For each $p > 1$, the classes M^p and N^p coincide, and the metric spaces (M^p, ρ_p) and (N^p, d_p) have the same topological structure.

Proof. Consider the identity map $j : M^p \rightarrow N^p$. Then, by the inequality (38) of Lemma 7, j is continuous. Since, by Theorem 11, $M^p = N^p$, j maps M^p onto N^p . Since M^p and N^p are both F -spaces, it follows, by the open mapping theorem [23, Corollary 2.12 (b)], that the inverse map j^{-1} of j is continuous. Hence, j is a homeomorphism, and so the metrics d_p and ρ_p induce the same topology on N^p and M^p , respectively. \square

As an application of Theorem 16 and using the characterization of topological dual of the space F^p (which is by [7, Theorem 4.2] the Fréchet envelope of N^p) given by Stoll [6, Theorem 3.3] (cf. also [12, Theorem 3.5] and [13, Theorem 2]), we immediately get the following result.

Theorem 17. If γ is a continuous linear functional on M^p , then there exists a sequence $\{\gamma_n\}_n$ of complex numbers with $\gamma_n = O(\exp(-cn^{1/(p+1)}))$, for some $c > 0$, such that

$$\gamma(f) = \sum_{n=0}^{\infty} a_n \gamma_n, \quad (55)$$

where $f(z) = \sum_{n=0}^{\infty} a_n z^n \in M^p$, with convergence being absolute. Conversely, if $\{\gamma_n\}$ is a sequence of complex numbers for which

$$\gamma_n = O(\exp(-cn^{1/(p+1)})), \quad (56)$$

then (55) defines a continuous linear functional on M^p .

Corollary 18. M^p is an F -algebra.

Proof. By Theorem 15, M^p becomes an F -space. As N^p is an F -algebra, by Theorem 16, the multiplication is also continuous on M^p . Hence, M^p is an F -algebra. \square

5. Bounded Subsets of M^p

It is proved in Section 4 (Theorem 16) that the spaces M^p and N^p coincide and have the same topological structure. Since N^p and M^p are not Banach spaces, it is of interest to obtain a characterization of bounded subsets of these spaces in terms of both metrics d_p and ρ_p .

Recall that, for a function $f \in N^p$, its boundary function f^* is defined as the radial limit $f^*(e^{i\theta}) = \lim_{r \rightarrow 1^-} f(re^{i\theta})$ which exists for almost every $e^{i\theta} \in \mathbb{T}$.

The following result gives a characterization of bounded subsets of $N^p (= M^p)$. Recall that the assertion (i) \Leftrightarrow (iii) is analogous to Theorem 1 in [21] that describes bounded subsets of N^+ .

Theorem 19. For given set $L \subset M^p$, the following conditions are equivalent:

- (i) L is a bounded subset of M^p ;
- (ii) for all $\varepsilon > 0$ there exists $\delta > 0$ such that

$$\int_E (\log^+ Mf(\theta))^p \frac{d\theta}{2\pi} < \varepsilon \quad \forall f \in L, \quad (57)$$

for every measurable set $E \subset \mathbb{T}$ with the Lebesgue measure $|E| < \delta$;

- (iii) for all $\varepsilon > 0$ there exists $\delta > 0$ such that

$$\int_E (\log^+ |f^*(e^{i\theta})|)^p \frac{d\theta}{2\pi} < \varepsilon \quad \forall f \in L, \quad (58)$$

for each measurable set $E \subset \mathbb{T}$ with the Lebesgue measure $|E| < \delta$.

Proof. (ii)⇒(iii). It follows that from the obvious inequality $|f^*(e^{i\theta})| \leq Mf(\theta)$, $f \in M^p$, for almost every $\theta \in [0, 2\pi]$.

(iii)⇒(i). Let

$$V = \{g \in N^p : d_p(g, 0) < \eta\} \tag{59}$$

be an arbitrary neighborhood of zero in N^p . Choose sufficiently small $\varepsilon > 0$ such that

$$\log^p(1 + \varepsilon) + 2^{p-1}\log^p 2\delta + 2^{p-1}\varepsilon < \eta^p. \tag{60}$$

Now it follows that there exists δ , $0 < \delta < \varepsilon$, such that (iii) holds. Choose an $n \in \mathbb{N}$ for which $1/n < \delta$. Set

$$E_k = \left\{ e^{i\theta} : \theta \in \left[\frac{2(k-1)\pi}{n}, \frac{2k\pi}{n} \right) \right\}, \quad k = 1, 2, \dots, n. \tag{61}$$

Then $|E_k| = 1/n < \delta$, and thus by (iii) we have

$$\begin{aligned} & \int_0^{2\pi} (\log^+ |f^*(e^{i\theta})|)^p \frac{d\theta}{2\pi} \\ &= \sum_{k=1}^n \int_{E_k} < n\varepsilon \quad \forall f \in L. \end{aligned} \tag{62}$$

By (62) and Chebyshev's inequality, we conclude that for every function $f \in N^p$ there exists a measurable set $E_f \subset \mathbb{T}$ depending on f such that

$$|\mathbb{T} \setminus E_f| < \delta, \quad (\log^+ |f^*(e^{i\theta})|)^p \leq \frac{n\varepsilon}{\delta} \quad \text{on } E_f. \tag{63}$$

From (63), we obtain

$$|f^*(e^{i\theta})| \leq \exp\left(\frac{n\varepsilon}{\delta}\right)^{1/p} = K(\delta) = K \quad \text{on } E_f. \tag{64}$$

Choose α such that $0 < \alpha < \varepsilon/\delta$. Then using the inequality

$$\log^p(1 + |a|) \leq 2^{p-1} \left((\log^+ |a|)^p + \log^p 2 \right), \tag{65}$$

(60) and (iii), for every $f \in L$, we obtain

$$\begin{aligned} & (d_p(\alpha f, 0))^p \\ &= \int_0^{2\pi} \log^p(1 + |\alpha f^*(e^{i\theta})|) \frac{d\theta}{2\pi} \\ &= \int_{E_f} + \int_{\mathbb{T} \setminus E_f} \\ &\leq \int_{E_f} \log^p(1 + \varepsilon) \frac{d\theta}{2\pi} \\ &\quad + 2^{p-1} \left(\int_{\mathbb{T} \setminus E_f} \log^p 2 \frac{d\theta}{2\pi} + \int_{\mathbb{T} \setminus E_f} (\log^+ |f^*(e^{i\theta})|)^p \frac{d\theta}{2\pi} \right) \\ &\leq \log^p(1 + \varepsilon) + 2^{p-1}\log^p 2\delta + 2^{p-1}\varepsilon \\ &< \eta^p. \end{aligned} \tag{66}$$

Therefore, $d_p(\alpha f, 0) < \eta$, from which it follows that $\alpha L \subset V$. Hence, L is a bounded subset of N^p .

(i)⇒(ii). Assume that L is a bounded subset of M^p . Then for any given $\eta > 0$ there is a $\alpha_0 = \alpha_0(\eta)$, $0 < \alpha_0 < 1$, such that

$$(\rho_p(\alpha f, 0))^p = \int_0^{2\pi} \log^p(1 + |\alpha| Mf(\theta)) \frac{d\theta}{2\pi} < \eta^p \tag{67}$$

for each $f \in L$ and $|\alpha| \leq \alpha_0$. It follows that

$$\int_0^{2\pi} (\log^+ |\alpha| Mf(\theta))^p \frac{d\theta}{2\pi} < \eta^p \tag{68}$$

for each $f \in L$, $|\alpha| \leq \alpha_0$.

Since

$$\log^+ Mf(\theta) \leq \log^+ \alpha_0 Mf(\theta) + \log \frac{1}{\alpha_0}, \tag{69}$$

we obtain

$$(\log^+ Mf(\theta))^p \leq 2^{p-1} \left((\log^+ \alpha_0 Mf(\theta))^p + \left(\log \frac{1}{\alpha_0} \right)^p \right). \tag{70}$$

For given $\varepsilon > 0$, choose $\eta > 0$ satisfying

$$\eta < \frac{\varepsilon^{1/p}}{2}, \tag{71}$$

and $\alpha_0 = \alpha_0(\eta)$ satisfying (67) and so also satisfying (68). Next, take $\delta > 0$ such that

$$\delta \log^p \frac{1}{\alpha_0} < \frac{\varepsilon}{2^p}. \tag{72}$$

Then for each set $E \subset \mathbb{T}$ with $|E| < \delta$, by (68)–(72), for every $f \in L$, we obtain

$$\begin{aligned} & \int_E (\log^+ Mf(\theta))^p \frac{d\theta}{2\pi} \\ &\leq 2^{p-1} \left(\int_E (\log^+ \alpha_0 Mf(\theta))^p \frac{d\theta}{2\pi} + \int_E \log^p \frac{1}{\alpha_0} \frac{d\theta}{2\pi} \right) \\ &\leq 2^{p-1} \eta^p + 2^{p-1} |E| \log^p \frac{1}{\alpha_0} \\ &\leq \varepsilon. \end{aligned} \tag{73}$$

Therefore, the condition (ii) of the theorem is satisfied, which concludes the proof. \square

Remark 20. Note that the condition (ii) from Theorem 19 in fact means that the family $\{(\log^+ Mf(\theta))^p : f \in L\}$ is uniformly integrable on \mathbb{T} . The same assertion is also valid for the condition (iii). On the other hand, from the proof of Theorem 19, we see that (ii) implies that the family $\{(\log^+ Mf(\theta))^p : f \in L\}$ forms a bounded subset of the space $L^1(\mathbb{T})$; that is, there holds

$$\limsup_{f \in L} \int_0^{2\pi} (\log^+ Mf(\theta))^p \frac{d\theta}{2\pi} < +\infty. \tag{74}$$

Similarly, it follows from (iii) that the family $\{(\log^+ |f^*(e^{i\theta})|)^p : f \in L\}$ is bounded in $L^1(\mathbb{T})$.

Corollary 21. *If L is a subset of M^p for which the family*

$$\{(\log^+ |f^*(e^{i\theta})|)^p : f \in L\} \tag{75}$$

is uniformly integrable, then the family

$$\{(\log^+ |f(re^{i\theta})|)^p : f \in L, 0 \leq r < 1\} \tag{76}$$

is also uniformly integrable.

Proof. The condition of Corollary 21 and (iii) \Rightarrow (ii) of Theorem 19 immediately yield that the family $\{(\log^+ Mf(\theta))^p : f \in L\}$ is uniformly integrable on the circle \mathbb{T} . This fact and the obvious inequality $|f(re^{i\theta})| \leq Mf(\theta)$, $f \in M^p$, $0 \leq r < 1$, for almost every $\theta \in [0, 2\pi]$, imply that the family $\{(\log^+ |f(re^{i\theta})|)^p : f \in L, 0 \leq r < 1\}$ is uniformly integrable. \square

The following result gives a necessary condition for a subset of $M^p (= N^p)$ to be bounded.

Theorem 22. *Let L be a subset of M^p . If L is bounded in M^p , then*

$$M_\infty(r, f) \leq K \exp\left(\frac{\omega(r)}{(1-r)^{1/p}}\right) \text{ for each } f \in L, \tag{77}$$

where $M_\infty(r, f) = \max_{0 \leq \theta < 2\pi} |f(re^{i\theta})|$, K is a positive constant, and $\omega(r)$, $0 \leq r < 1$, is a positive continuous function that does not depend on $f \in L$ and for which $\omega(r) \downarrow 0$ as $r \rightarrow 1$.

Proof. By the inequqlity (5.4) from the proof of Theorem 5.2 in [4], for all $f \in N^p$, we have

$$\begin{aligned} & (\log^+ |f(re^{i\theta})|)^p \\ & \leq \frac{1}{2\pi} \int_0^{2\pi} \frac{1-r^2}{1-2r \cos(\theta-t) + r^2} (\log^+ |f^*(e^{it})|)^p dt. \end{aligned} \tag{78}$$

As, by the assumption, L is a bounded subset of N^p , by Theorem 19 (iii), for all $\varepsilon > 0$ there exists $\delta = \delta(\varepsilon) > 0$, such that

$$\int_0^{2\pi} (\log^+ |f^*(e^{i\theta})|)^p \frac{d\theta}{2\pi} < \frac{\varepsilon}{2} \quad \forall f \in L \tag{79}$$

and for every measurable set $E \subset \mathbb{T}$ with the Lebesgue measure $|E| < \delta$.

Further, from the proof of (iii) \Rightarrow (i) of Theorem 19, we see that for each $f \in N^p$ there is a measurable set $E_f \subset \mathbb{T}$ depending on f for which

$$|\mathbb{T} \setminus E_f| < \delta, \quad (\log^+ |f^*(e^{i\theta})|)^p \leq \frac{n\varepsilon}{\delta} \tag{80}$$

for almost every $e^{i\theta} \in E_f$. From (78)–(80), we obtain

$$\begin{aligned} (\log^+ |f(re^{i\theta})|)^p &= \int_{E_f} + \int_{E_f^c} \\ &\leq \frac{n\varepsilon}{\delta} + \frac{1}{1-r} \frac{\varepsilon}{2}, \end{aligned} \tag{81}$$

whence it follows that

$$(1-r) (\log^+ M_\infty(r, f))^p \leq \frac{(1-r)n\varepsilon}{\delta} + \frac{\varepsilon}{2}. \tag{82}$$

Choose a sequence $\{\varepsilon_k\}$ of positive numbers such that $\varepsilon_k \downarrow 0$. For each $k \in \mathbb{N}$, let $r_k > 0$ be a number such that

$$\frac{(1-r_k)n\varepsilon}{\delta_k} + \frac{\varepsilon_k}{2} < \varepsilon_k, \tag{83}$$

where $\varepsilon_k = \delta(\varepsilon_k)$ and

$$r_{k-1} < r_k < 1, \quad r_k \uparrow 1 \text{ as } k \rightarrow \infty. \tag{84}$$

Put

$$\omega_1(r) = \varepsilon_k \text{ for } r_k \leq r < r_{k+1}, \quad k = 1, 2, \dots \tag{85}$$

From (82), (83), and (85) we obtain

$$(\log^+ M_\infty(r, f))^p \leq \frac{\omega_1(r)}{1-r} \quad \forall 0 \leq r < 1. \tag{86}$$

Since

$$\omega_1(r) \rightarrow 0 \text{ as } r \rightarrow 1, \tag{87}$$

we conclude that there exists a continuous function $\omega_2(r)$ satisfying

$$\omega_1(r) \leq \omega_2(r), \quad \omega_2(r) \downarrow 0 \text{ as } r \rightarrow 1. \tag{88}$$

Therefore,

$$(\log^+ M_\infty(r, f))^p \leq \frac{\omega_2(r)}{1-r} \text{ for each } 0 \leq r < 1, \tag{89}$$

whence by setting

$$\omega(r) = (\omega_2(r))^{1/p} \text{ for each } 0 \leq r < 1, \tag{90}$$

we obtain

$$M_\infty(r, f) \leq \exp\left(\frac{\omega(r)}{(1-r)^{1/p}}\right) \quad \forall f \in L. \tag{91}$$

This concludes the proof. \square

Remark 23. The condition of Theorem 22 is not a sufficient condition for a set $L \subset M^p$ to be bounded. To show this, define

$$f_n(z) = a_n z^n, \quad a_n = \exp(\lambda_n n^{1/(p+1)}), \tag{92}$$

where

$$\lambda_n = n^{-1/2(p+1)}. \tag{93}$$

Then as in the proof of Lemma 1 in [21] it is easy to verify that the set $L = \{f_n\} \subset M^p$ satisfies the condition of Theorem 22. Since

$$\log |f_n^*(e^{i\theta})| = n^{1/2(p+1)}, \tag{94}$$

we see that L is not bounded in M^p .

Theorem 24. *There exist bounded subsets of M^p that are not relatively compact.*

Proof. Define a sequence $\{h_n\}$ of functions on $[0, 2\pi]$ as

$$h_n(t) = 1 + \sin(nt), \quad t \in [0, 2\pi], \tag{95}$$

and set

$$\begin{aligned} f_n(z) &= \exp\left(\frac{1}{2\pi} \int_0^{2\pi} \frac{e^{it} + z}{e^{it} - z} h_n(t) dt\right) \\ &= \exp(1 - iz^n), \quad z \in \mathbb{D}. \end{aligned} \tag{96}$$

Obviously, $\{f_n\} \subset N^p$ and for each measurable set $E \subset \mathbb{T}$ we have

$$\begin{aligned} \int_0^{2\pi} h_n(t) dt &= 2\pi, \\ 0 \leq \int_E h_n(t) dt &\leq 2|E|, \end{aligned} \tag{97}$$

where $|E|$ denotes the Lebesgue measure of E . From this and Theorem 19, we see that the set $L = \{f_n\}$ is bounded in N^p .

Now suppose that E is relatively compact. This means that there exists a subsequence $\{f_{n_k}\}$ of $\{f_n\}$ and a function $f \in N^p$ such that

$$d_p(f_{n_k}, f) \rightarrow 0 \quad \text{as } k \rightarrow \infty, \tag{98}$$

and thus

$$\begin{aligned} f_{n_k}(z) &\rightarrow f(z), \\ &\text{uniformly on each closed disk } |z| \leq r < 1. \end{aligned} \tag{99}$$

Therefore, by (96), it follows that $f(z) \equiv e$ on \mathbb{D} . On the other hand, from (98), it follows that

$$f_{n_k}^*(e^{i\theta}) \rightarrow f^*(e^{i\theta}) \quad \text{in measure on } \mathbb{T}. \tag{100}$$

Therefore,

$$\begin{aligned} \log^+ |f_{n_k}^*(e^{i\theta})| &= 1 + \sin(n_k\theta) \rightarrow \log^+ |f^*(e^{i\theta})| = 1 \\ &\text{in measure on } \mathbb{T}. \end{aligned} \tag{101}$$

This contradiction shows that L is not relatively compact in N^p . \square

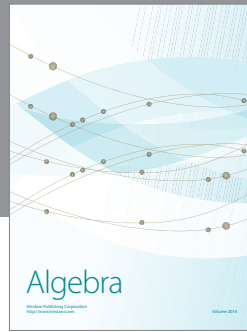
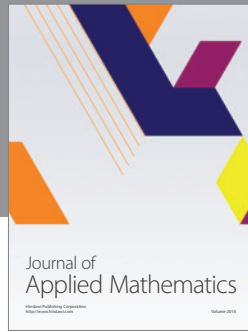
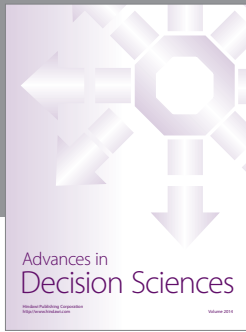
Conflict of Interests

The author declares that there is no conflict of interests regarding the publication of this paper.

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