

Research Article

SIC-Coding Schemes for Underlay Two-Way Relaying Cognitive Networks

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In this paper, we propose an underlay two-way relaying scheme with the successive interference cancellation (SIC) solution in which two secondary sources transmit simultaneously their data to each other through secondary relays. The proposed scheme is operated in only two time slots and under an interference constraint of a primary receiver, denoted as the UTW-2TS scheme. In the UTW-2TS scheme, the secondary relays employ the SIC operation to decode successively the data from received broadcast signals and then encode these data by two techniques: digital network coding (DNC) enforced by XOR operations (denoted as the UTW-2TS-DNC protocol) and superposition coding (SC) enforced by power domain additions (denoted as the UTW-2TS-SC protocol). A selected secondary relay which subjects to maximize decoding capacities and to minimize collection time of channel state information in two protocols UTW-2TS-DNC and UTW-2TS-SC experiences residual interferences from imperfect SIC operations. Outage probabilities and throughputs are solved in terms of exact closed-form expressions to evaluate the system performance of the proposed protocols. Simulation and analysis results provide performance enhancement of the proposed protocols UTW-2TS-DNC and UTW-2TS-SC owing to increase the number of the cooperative secondary relays, the interference constraints, and the distances from the secondary network to the primary receiver. The best throughputs are pointed at optimal interference power allocation coefficients and optimal locations of the selected secondary relay. Considering the same power consumption, the UTW-2TS-DNC protocol outperforms the UTW-2TS-SC protocol. Finally, the simulation results are collected to confirm the exact analysis values of the outage probabilities and throughputs.

1. Introduction

In recent years, the radio spectrum has become scarce due to the increasing bandwidth demand for mobile multimedia services and the explosive development of next-generation wireless networks such as wireless sensor networks, Internet of Things (IoT), and fifth-generation (5G) networks. On the other hand, the utilization of the licensed frequency spectrum versus time and space is low [1]. In this context, cognitive radio was proposed as an effective spectrum sharing solution in which secondary users (SUs) coexist with primary users (PUs) [2]. The SUs can operate flexibly and intelligently in (interweave, overlay, and underlay) protocols to access the licensed frequency spectra of the PUs as long as quality of

service (QoS) of the primary network is maintained [3, 4]. In the interweave cognitive radio [5], the SUs use spectrum sensing methods to detect the unoccupied spectra to avoid interference to the PUs. In an opposite way, the SUs in the overlay and underlay methods can access the licensed bands at the same time with the PUs [6–9]. The overlay approach requires the cooperation between the SUs and the PUs; i.e., the secondary transmitters have to combine the data of the SUs and the PUs and then send the combined data to the intended secondary and primary receivers [6]. The SUs in the underlay approach can access the spectra at any time provided that the interferences affected on the PUs must be below a tolerable interference level [7–9]. Based on the required QoS, the PUs can calculate the tolerable interference

level and send it to the secondary network so that the SUs can appropriately adjust their transmit powers.

Two-way communication networks have been much interested in switching data between interactive users in which many research studies have been launched to enhance performance [10]. Two-way cooperation solutions investigated in [10] have great attractions owing to increase significantly spectrum utilization efficiency. The operation of two-way relaying is to exchange data between users with the help of other intermediate users as amplify-and-forward (AF) and decode-and-forward (DF) devices, denoted as relays. In [11], an optimum relay is selected to decode received signals from the transmit users, mix these data by XOR operation, and then broadcast back to both users. The operation of the optimum relay in [11] is named as digital network coding (DNC).

1.1. Related Work and Motivation. Proposals for increasing performance in the underlay two-way relaying networks have been studied in [12–18]. The authors in [12] combined DNC and opportunistic relay selection (ORS) to decrease the outage performance of the secondary two-way relaying network under the interference constraint required by the primary receiver. Moreover, the best relay selection strategy in the third time slot, which follows a max-min criterion, was proposed in [12] to significantly decrease the system outage probabilities, as compared with the traditional DNC approach [12]. By expanding the published work [12], Toan et al. in [13, 14] analyzed the performance of the underlay two-way relaying communication systems with the presence of multiple primary receivers. The authors in [15] evaluated the outage probabilities and symbol error probabilities of many primary one-way networks and a secondary two-way network operating on both the independent and identically distributed (i.i.d.) and independent but nonidentically distributed (i.n.i.d.) Nakagami- m fading channels. Imperfect channel state information (CSI) from the SUs to the PUs have been included in probability analyses [16]. The authors exploited both the direct and relaying links with appropriate diversity combinations in an underlay three-phase two-way scheme [17]. In [18], the authors considered an underlay wiretap cognitive two-way relaying network in which two SUs exchange their messages via multiple secondary DF relays in the presence of an eavesdropper. In [18], DNC, ORS, and artificial noises were used to mitigate eavesdropping attacks. However, above investigations perform on three orthogonal time slots, and hence, the bandwidth utilization efficiency is only $2/3$ (two data per three time slots). This motivates us to propose new two-way relaying approaches for the underlay cognitive radio network, where only two time slots are used to obtain the data rate of $2/2$.

In [19], the authors applied successive interference cancellation (SIC) technique to sequentially decode signals received from multiple sources. With SIC, the spectral efficiency of the two-way relaying systems is improved because the number of time slots decreases. Indeed, SIC is one of the core technologies in nonorthogonal multiple access (NOMA) systems which can assign nonorthogonal resources

such as power, time, and code to different users [20]. For the NOMA systems operating in the power domain, multiple transmit signals that are allocated with different transmit powers are merged by the superposition coding (SC) before they are sent to intended receivers. At each receiver, the signal with higher transmit power is first decoded, and it is then removed from the received signal. This process, named SIC, is repeated until the receiver obtains the desired signal [21]. The authors in [19] pointed out some implementation problems of the SIC utilization such as complexity and residual interference after performing interference cancellations. These issues will lead to lower decoding capacities than expected ones. In [22], the authors evaluated two-way relaying NOMA systems fully in terms of the outage probability, outage floor, ergodic capacity, and power allocation. The system performance of the proposed protocol in [22] outperforms that of three-time slot two-way relaying systems with orthogonal multiple access. In addition, we have applied the SIC scheme with DNC and ORS at the last time slot (the second time slot) for traditional two-way relaying networks in [23]. The results obtained in [23] presented improvements of the system performances as well as bandwidth utilization efficiencies, as compared with the corresponding scenarios without using SIC. However, the previous published works [19, 22, 23] only considered the conventional wireless networks; i.e., the underlay cognitive network was not studied.

1.2. Contributions. Motivated by above issues, in this paper, we propose an underlay two-way relaying scheme with the SIC solution in which two secondary sources send concurrently their data to each other through multiple secondary relays under an interference constraint of a primary receiver. The proposed scheme is operated in two time slots, denoted as the UTW-2TS scheme. In the UTW-2TS scheme, the secondary relays employ the SIC operation to decode successively the data from received broadcast signals. The data carried by the stronger channel gains is decoded firstly and will be subtracted to detect the remaining data. These decoded data are encoded by two techniques: the DNC enforced by XOR operations (denoted as the UTW-2TS-DNC protocol) and the SC enforced by power domain additions (denoted as the UTW-2TS-SC protocol). In addition, because of imperfect operations of the SIC, the secondary relays experience residual interferences. A secondary relay is selected in two protocols UTW-2TS-DNC and UTW-2TS-SC which subjects to maximize decoding capacities and to minimize collection time of CSI. Outage probabilities and throughputs are solved in terms of exact closed-form expressions to evaluate the system performance of the proposed UTW-2TS-DNC and UTW-2TS-SC protocols. These outage probability and throughput expressions are proved by doing the Monte Carlo simulations. The contributions of this paper are cataloged as follows:

- (1) Proposing SIC-based underlay two-way relaying cognitive protocols which enhance the data rate, as compared with the corresponding ones without using the SIC

- (2) Exactly analyzing the outage probabilities and throughputs of two secondary sources in two operation protocols UTW-2TS-DNC and UTW-2TS-SC with the same two-way relaying model in which the SIC solution in the selected secondary relay is practically considered in the perfect and imperfect cases
- (3) The UTW-2TS-DNC protocol outperforms the UTW-2TS-SC protocol with the same power consumption
- (4) The proposed UTW-2TS-DNC and UTW-2TS-SC protocols can achieve the best throughputs at optimal interference power allocation coefficients and optimal locations of the selected secondary relay. In addition, we examine the throughputs of the UTW-2TS-SC protocol versus the changes of the power allocation coefficients
- (5) The system performances in terms of the outage probabilities and throughputs are improved when the number of the cooperative secondary relays, the interference constraints, and the distances from the secondary nodes to the primary receiver are increased as well as when the residual interference powers decrease

1.3. Paper Organization and Notations. This paper is organized into sections as follows. Section 2 presents a system model of an underlay two-way relaying scheme with multiple secondary relays. Section 3 analyzes outage probabilities and throughputs of the proposed protocols UTW-2TS-DNC and UTW-2TS-SC. Results and discussions are shown in Section 4. Lastly, Section 5 summarizes contributions.

The notations used in this paper are denoted as follows: $f_Y(\cdot)$ and $F_Y(\cdot)$ denote, respectively, the probability density function (PDF) and the cumulative distribution function (CDF) of a random variable (RV) Y ; $\Pr\{\mathcal{E}\}$ denotes the probability operation of an event \mathcal{E} ; $E\{\cdot\}$ denotes the expectation operator; $\binom{p}{M}$ denotes the binomial coefficient ($\binom{p}{M} = M!/p!(M-p)!$); \oplus denotes XOR math operation; and $\Gamma[u, v]$ is the upper incomplete Gamma function.

2. System Model

Figure 1 presents a system model of an underlay two-way relaying scheme. In this scheme, secondary sources S_1 and S_2 own data x_1 and x_2 ($x_1 \in S_1$ and $x_2 \in S_2$), respectively. The exchange of information is achieved between the S_1 and the S_2 through a cluster of M intermediate secondary relays R_i , where $i = \{1, 2, \dots, M\}$ [12]. More specifically, the data x_1 of S_1 is exchanged with the data x_2 of S_2 . The secondary network including S_1 , S_2 , and R_i suffers an interference constraint of a primary receiver (PR), denoted as I . The secondary relays can perform the SIC technique to decode the

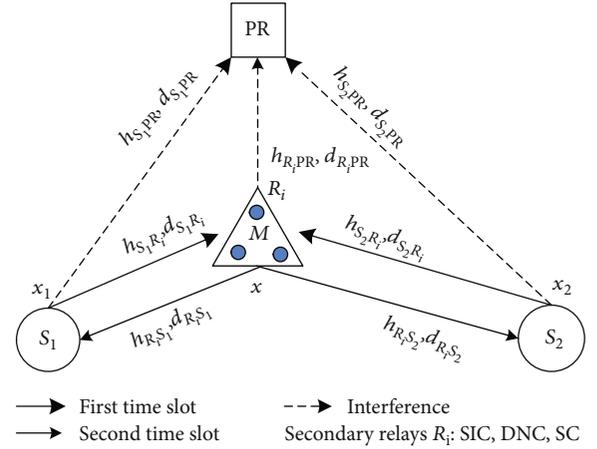


FIGURE 1: System model of an underlay two-way relaying scheme.

received data-carried signals successively in an interval of a time slot.

We assume that a direct link between the secondary sources S_1 and S_2 does not exist due to the far distance or deep shadow fading [18, 23, 24]. It is also assumed that the secondary and primary nodes are installed with a single antenna, and additive noises at all the receivers are zero-mean Gaussian random variables (RVs) whose mean is zero, and variance is σ^2 .

In Figure 1, h_{XY} and d_{XY} denote the flat-block Rayleigh fading channel coefficient and the normalized link distance of link X - Y , respectively, where $X, Y \in \{S_1, S_2, R_i, PR\}$. Because the secondary relays R_i are located in the cluster, where the secondary relays R_i are close to each other, the normalized distances can be assumed to be identical, i.e., $d_{S_1 R_i} = d_{R_i S_1} = d_1$, $d_{S_2 R_i} = d_{R_i S_2} = d_2$, $d_{R_i PR} = d_3$, $d_{S_1 PR} = d_4$, and $d_{S_2 PR} = d_5$ [25, 26]. Because $|h_{XY}|$ have Rayleigh distributions, the channel gains $g_{XY} = |h_{XY}|^2$ are exponential RVs with the PDFs $f_{g_{XY}}(y) = \lambda_{XY} e^{-\lambda_{XY} y}$ and the CDFs $F_{g_{XY}}(y) = 1 - e^{-\lambda_{XY} y}$, where $\lambda_{XY} = d_{XY}^\eta$, and η is a path loss exponent (see [23, 27, 28]). Moreover, we have $\lambda_{S_1 R_i} = \lambda_{R_i S_1} = \lambda_1$, $\lambda_{S_2 R_i} = \lambda_{R_i S_2} = \lambda_2$, $\lambda_{R_i PR} = \lambda_3$, $\lambda_{S_1 PR} = \lambda_4$, and $\lambda_{S_2 PR} = \lambda_5$.

In the underlay cognitive radio network, the secondary network coexisted in the same frequency with the primary network and satisfies that the interference power at the primary receiver (PR) is less than or equal the constraint I [29, 30]. We have the inequality

$$\begin{cases} P_{S_1} g_{S_1 PR} + P_{S_2} g_{S_2 PR} \leq I, \\ P_{R_i} g_{R_i PR} \leq I, \quad i = \{1, 2, \dots, M\}, \end{cases} \quad (1)$$

where P_{S_1} , P_{S_2} , and P_{R_i} are transmit powers of the secondary source S_1 , the secondary source S_2 , and the secondary relays R_i , respectively.

From (1), the transmit powers of the secondary transmitters can be set as $P_{S_1} = \alpha_1 I / g_{S_1 PR}$, $P_{S_2} = \alpha_2 I / g_{S_2 PR}$, and $P_{R_i} = I / g_{R_i PR}$, where α_1 and α_2 are interference power allocation coefficients, $0 < \alpha_1, \alpha_2 < 1$, and $\alpha_1 + \alpha_2 = 1$.

Comment 1. In practice, the transmit powers P_X must be below a maximum power (denoted by $P_{X,\max}$), where $X \in \{S_1, S_2, R_i\}$. Therefore, P_X should be formulated as $P_X = \min(P_{X,\max}, uI/g_{XPR})$, where $u \in \{\alpha_1, \alpha_2, 1\}$. Suppose that $P_{X,\max} \gg I$ (e.g., the X nodes are near the PR node); hence, we can approximate P_X by $P_X \approx uI/g_{XPR}$. It is worth noting that this assumption is used in many published literatures, i.e., [12, 26, 30–36].

The operation principle of the UTW-2TS schemes happens in two time slots as follows. In the first time slot, secondary sources S_1 and S_2 transmit simultaneously the data-carried signals x_1 and x_2 , respectively, to all secondary relays under interference constraint of the primary receiver (PR). A selected secondary relay, denoted as R_m , where $m = \{1, 2, \dots, M\}$, decodes the desired data by applying the SIC process. In the second time slot (last time slot), the R_m creates the new data x by the DNC technique, denoted as the UTW-2TS-DNC protocol, or by the SC technique, denoted as the UTW-2TS-SC protocol. The new data will be transmitted back to two secondary sources S_1 and S_2 . Collection of CSI and system parameters for decoding data, cancelling interferences, subtracting self-interference components, and selecting the cooperative relay are performed by the medium access control (MAC) protocol as specified in [37].

The secondary sources S_1 and S_2 transmit simultaneously the data x_1 and x_2 , respectively, to the secondary relays R_i at the same time (the first time slot) and the same frequency as the uplink NOMA operation, where $i = \{1, 2, \dots, M\}$. The received signal at the R_i is expressed as

$$y_{R_i} = \sqrt{P_{S_1}}x_1h_{S_1R_i} + \sqrt{P_{S_2}}x_2h_{S_2R_i} + n_{R_i}, \quad (2)$$

where $E\{|x_1|^2\} = E\{|x_2|^2\} = 1$, and n_{R_i} presents the Gaussian noises at the secondary relays R_i with the same variance σ^2 .

The secondary relays R_i can compute the distances $d_{S_1R_i}$ and $d_{S_2R_i}$ by taking coordinate parameters in received request-to-send (RTS) messages of the secondary sources S_1 and S_2 in the setup phase [37]. Coordinates of the nodes can be received from the navigation systems. Hence, the secondary relays R_i can make decoding decisions of the signals x_1 and x_2 in (2) by the SIC technique as follows.

Case 1. ($d_{S_1R_i} \leq d_{S_2R_i}$ or $E\{g_{S_1R_i}\} \geq E\{g_{S_2R_i}\}$ (R_i is closer S_1 than S_2)).

In this case, the data x_1 in (2) is decoded by the secondary relay R_i firstly whereas a signal $\sqrt{P_{S_2}}x_2h_{S_2R_i}$ containing x_2 is treated as interference. The received signal-to-interference-plus-noise ratios (SINRs) at the R_i to decode x_1 are obtained from (2) as

$$\gamma_{R_i \rightarrow x_1}^{(1)} = \frac{P_{S_1}g_{S_1R_i}}{P_{S_2}g_{S_2R_i} + \sigma^2} = \frac{\alpha_1 Q g_{S_1R_i} g_{S_2PR}}{\alpha_2 Q g_{S_2R_i} g_{S_1PR} + g_{S_1PR} g_{S_2PR}}, \quad (3)$$

where $Q = I/\sigma^2$.

In (3), to increase the decoding capacity for the data x_1 , decrease the collection of the CSI, and minimize help of the cooperative secondary relays, we only select one secondary relay among M secondary relays. Indeed, the selected secondary relay R_m is obtained as $R_m = \arg \max_{i=1,2,\dots,M} g_{S_1R_i}$. Then, the CDF and PDF of the RV $g_{S_1R_m}$ are correspondingly expressed as ([28], eqs. 7–14)

$$F_{g_{S_1R_m}}(y) = \left(1 - e^{-\lambda_1 y}\right)^M = \sum_{p=0}^M \binom{M}{p} (-1)^p e^{-p\lambda_1 y}, \quad (4)$$

$$f_{g_{S_1R_m}}(y) = M\lambda_1 e^{-\lambda_1 y} \left(1 - e^{-\lambda_1 y}\right)^{M-1}. \quad (5)$$

After decoding x_1 successfully, the inference component $\sqrt{P_{S_1}}x_1h_{S_1R_m}$ in (2) can be cancelled completely or partly by the SIC technique in which the transmit power P_{S_1} and the channel coefficient $h_{S_1R_m}$ were known in the setup phase [37]. The remaining received SINR at the selected secondary relay R_m to decode x_2 is inferred as

$$\gamma_{R_m \rightarrow x_2}^{(1)} = \frac{P_{S_2}g_{S_2R_m}}{\varepsilon I |r_m|^2 + \sigma^2}, \quad (6)$$

where r_m is a residual interference part at the secondary relay R_m due to the imperfect SIC operations and can be modeled as an identical complex normal distribution $r_m \sim \text{CN}(0, \Psi)$ [19] with zero mean and same variance Ψ (Ψ is also the power of the residual interference part), and hence, $g_m = |r_m|^2$ are also exponentially distributed RVs with the PDF as $f_{g_m}(y) = \Omega e^{-\Omega y}$ and the CDF as $F_{g_m}(y) = 1 - e^{-\Omega y}$ ([28], eqs. 6–68), where $\Omega = 1/\Psi$; $\varepsilon = 0$ and $\varepsilon = 1$ denote perfect and imperfect interference cancellation at the secondary relay R_m , respectively.

Substituting $P_{S_2} = \alpha_2 I / g_{S_2PR}$ into (6), $\gamma_{R_m \rightarrow x_2}^{(1)}$ is expressed as

$$\gamma_{R_m \rightarrow x_2}^{(1)} = \frac{\alpha_2 Q g_{S_2R_m}}{g_{S_2PR}(\varepsilon Q g_m + 1)}. \quad (7)$$

Case 2. ($d_{S_1R_i} > d_{S_2R_i}$ or $E\{g_{S_1R_i}\} < E\{g_{S_2R_i}\}$ (R_i is closer S_2 than S_1)).

Similarly, the secondary relay R_i decodes the data x_2 firstly with the interference signal $\sqrt{P_{S_1}}x_1h_{S_1R_i}$, where $i = \{1, 2, \dots, M\}$. The SINRs at the R_i for decoding x_2 and x_1 are expressed, respectively, as

$$\gamma_{R_i \rightarrow x_2}^{(2)} = \frac{P_{S_2}g_{S_2R_i}}{P_{S_1}g_{S_1R_i} + \sigma^2} = \frac{\alpha_2 Q g_{S_2R_i} g_{S_1PR}}{\alpha_1 Q g_{S_1R_i} g_{S_2PR} + g_{S_1PR} g_{S_2PR}}, \quad (8)$$

$$\gamma_{R_i \rightarrow x_1}^{(2)} = \frac{P_{S_1}g_{S_1R_i}}{\varepsilon I g_i + \sigma^2} = \frac{\alpha_1 Q g_{S_1R_i}}{g_{S_1PR}(\varepsilon Q g_i + 1)}. \quad (9)$$

In the second time slot, if the selected secondary relay R_m decodes successfully both data x_1 and x_2 in the first time slot, the R_m creates a new data x as

$$x = \begin{cases} x_1 \oplus x_2, & \text{digital network coding (the UTW-2TS-DNC protocol),} \\ \sqrt{\beta_1}x_1 + \sqrt{\beta_2}x_2, & \text{superposition coding (the UTW-2TS-SC protocol),} \end{cases} \quad (10)$$

where β_1 and β_2 are power allocation coefficients to data x_1 and x_2 , respectively, and satisfy conditions as [21]

$$\begin{cases} \beta_1 + \beta_2 = 1, & 0 \leq \beta_1 \leq 1, 0 \leq \beta_2 \leq 1, \\ \beta_1 \geq \beta_2, & d_{S_1 R_m} \leq d_{S_2 R_m} \text{ (Case 1),} \\ \beta_1 < \beta_2, & d_{S_1 R_m} > d_{S_2 R_m} \text{ (Case 2).} \end{cases} \quad (11)$$

We remark that for the UTW-2TS-SC protocol as in (10) and (11), the selected secondary relay R_m performs the down-link NOMA operation to allocate powers to data x_1 and x_2 as $x = \sqrt{\beta_1}x_1 + \sqrt{\beta_2}x_2$ in which x_1 and x_2 are the desired data of the secondary sources S_2 and S_1 , respectively [21]. If the S_k is closer to the R_m , the R_m will allocate the lower power for the data x_l , where $k, l = \{1, 2\}$ and $l \neq k$ and vice versa. More specifically, in Case 1 ($d_{S_1 R_m} \leq d_{S_2 R_m}$), the S_1 is the nearby user and the S_2 is the distant user. Hence, the R_m uses the lower power allocation coefficient β_2 ($\beta_2 \leq \beta_1$) to transmit the data x_2 to the S_1 and the higher power allocation coefficient β_1 to transmit the data x_1 to the S_2 . In addition, we note that the secondary relay R_m in Case 2 is selected by $R_m = \arg \max_{i=1,2,\dots,M} g_{S_2 R_i}$.

The coded data x in (10) will be broadcasted back to two secondary sources S_1 and S_2 , and then the received signals at the secondary sources S_k are expressed as

$$\begin{aligned} y_{S_k} &= \sqrt{P_{R_m}} x h_{R_m S_k} + n_{S_k} \\ &= \begin{cases} \sqrt{P_{R_m}} h_{R_m S_k} (x_1 \oplus x_2) + n_{S_k} & \text{(the UTW-2TS-DNC protocol)} \\ \sqrt{P_{R_m}} h_{R_m S_k} (\sqrt{\beta_1}x_1 + \sqrt{\beta_2}x_2) + n_{S_k} & \text{(the UTW-2TS-SC protocol)} \end{cases} \\ &= \begin{cases} \sqrt{P_{R_m}} h_{R_m S_k} (x_1 \oplus x_2) + n_{S_k} & \text{(the UTW-2TS-DNC protocol)} \\ \sqrt{\beta_1 P_{R_m}} h_{R_m S_k} x_1 + \sqrt{\beta_2 P_{R_m}} h_{R_m S_k} x_2 + n_{S_k} & \text{(the UTW-2TS-SC protocol).} \end{cases} \end{aligned} \quad (12)$$

where n_{S_k} presents the Gaussian noises at the secondary sources S_k with the same variance σ^2 .

For the UTW-2TS-DNC protocol with $x = x_1 \oplus x_2$ in (12), the received SINRs at the secondary sources S_k can be obtained from (12) to take x as

$$\gamma_{S_k \rightarrow x}^{\text{UTW-2TS-DNC}} = \frac{P_{R_m} |h_{R_m S_k}|^2}{\sigma^2} = \frac{Q g_{R_m S_k}}{g_{R_m PR}}. \quad (13)$$

The decoding method of the secondary sources S_k to take the desired data is performed by decoding operations of the network coding; i.e., the S_1 takes the data x_2 by XOR opera-

tions of its data x_1 with the compressed data x as $x_1 \oplus x = x_1 \oplus x_1 \oplus x_2 = x_2$.

For the UTW-2TS-SC protocol, the received signals at the secondary sources S_k are shown more clearly as

$$y_{S_k} = \underbrace{\sqrt{\beta_1 P_{R_m}} h_{R_m S_k} x_1}_{\text{desired component}} + \underbrace{\sqrt{\beta_2 P_{R_m}} h_{R_m S_k} x_2}_{\text{self-interference component}} + n_{S_k}. \quad (14)$$

In (14), the secondary source S_k owns the data x_k and does not decode this data. In addition, the S_k can estimate the fading channel coefficient $h_{R_m S_k}$ from receiving the setup messages of the selected secondary relay R_m and takes the system parameters as the transmit power P_{R_m} and the power allocation coefficient β_k during the setup time, where $k = \{1, 2\}$, [37]. Hence, the S_k knows the parameters x_k , $h_{R_m S_k}$, P_{R_m} , and β_k , which then can cancel out the self-interference component $\sqrt{\beta_k P_{R_m}} h_{R_m S_k} x_k$ in (14) [38, 39]. The received signals at the S_k after subtracting the known self-interference components are obtained as

$$\widehat{y}_{S_k} = \underbrace{\sqrt{\beta_l P_{R_m}} h_{R_m S_k} x_l}_{\text{desired component}} + n_{S_k}. \quad (15)$$

The received SINRs at the secondary sources S_k can be computed to take the desired data x_l as

$$\gamma_{S_k \rightarrow x_l}^{\text{UTW-2TS-SC}} = \frac{P_{R_m} \beta_l |h_{R_m S_k}|^2}{\sigma^2} = \frac{Q \beta_l g_{R_m S_k}}{g_{R_m PR}}. \quad (16)$$

3. Outage Probability and Throughput Analyses

In this paper, it is assumed that an outage event occurs when the received SINR is less than a threshold SINR γ_{th} [30, 40]. In addition, the data transmission in the secondary network is fixed to the delay-limited mode in which the secondary receivers such as the selected secondary relay R_m and the secondary sources S_1 and S_2 decode the desired data block by block without using buffers [30, 40]. Throughput has been considered to characterize the spectrum utilization of the communication systems [30, 40, 41] (known as the mean spectral efficiency) and is related to outage analyses as

$$\begin{aligned} \text{TP}_Z^{(l)} &= \frac{1}{2} \left(1 - \text{OP}_{Z-S_1}^{(l)} \right) R_{\text{th}} + \frac{1}{2} \left(1 - \text{OP}_{Z-S_2}^{(l)} \right) R_{\text{th}} \\ &= \frac{1}{2} \left(2 - \text{OP}_{Z-S_1}^{(l)} - \text{OP}_{Z-S_2}^{(l)} \right) R_{\text{th}}, \end{aligned} \quad (17)$$

where $1/2$ denotes that the Z protocol operates in the two time slots, $Z \in \{\text{UTW-2TS-DNC}, \text{UTW-2TS-SC}\}$; R_{th} is the threshold data rate and is given by $R_{\text{th}} = \log_2(1 + \gamma_{\text{th}})$ (bits/s/Hz) [30, 38, 40, 41]; $\text{OP}_{Z-S_k}^{(l)}$ is the outage probability at the secondary source S_k in the case l ($l, k = \{1, 2\}$) and is defined as probability that the secondary source S_k cannot decode successfully the desired data.

3.1. The UTW-2TS-DNC Protocol

3.1.1. Case 1: $d_{S_i R_i} \leq d_{S_2 R_i}$, $i = \{1, 2, \dots, M\}$. The outage probability of the secondary source S_1 occurs when the secondary source S_1 cannot successfully decode the data x_2 of the opposite secondary source S_2 . Due to the exploitation of the SIC technique at the selected secondary relay R_m which was set up in the initial phase, the outage probability of the secondary source S_1 happens when (1) the R_m fails to decode the data x_1 ; or (2) the R_m decodes the data x_1 successfully but does not decode the data x_2 ; or (3) the R_m decodes both x_1 and x_2 successfully, but the S_1 cannot decode the DNC-coded data x to get the desired data x_2 in the second time slot. We express the outage probability of the secondary source S_1 by the mathematical expression

$$\begin{aligned} \text{OP}_{\text{UTW-2TS-DNC-}S_1}^{(1)} &= \underbrace{\Pr \left\{ \gamma_{R_m \rightarrow x_1}^{(1)} < \gamma_{\text{th}} \right\}}_{\Phi_1} \\ &+ \underbrace{\Pr \left\{ \left(\gamma_{R_m \rightarrow x_1}^{(1)} \geq \gamma_{\text{th}} \right) \cap \left(\gamma_{R_m \rightarrow x_2}^{(1)} < \gamma_{\text{th}} \right) \right\}}_{\Phi_2} \\ &+ \Pr \left\{ \left(\gamma_{R_m \rightarrow x_1}^{(1)} \geq \gamma_{\text{th}} \right) \cap \left(\gamma_{R_m \rightarrow x_2}^{(1)} \geq \gamma_{\text{th}} \right) \right. \\ &\cdot \left. \cap \left(\gamma_{S_1 \rightarrow x}^{\text{UTW-2TS-DNC}} < \gamma_{\text{th}} \right) \right\}. \end{aligned} \quad (18)$$

In (18), the probability $\Phi_1 = \Pr \left\{ \gamma_{R_m \rightarrow x_1}^{(1)} < \gamma_{\text{th}} \right\}$ is considered when the secondary relay R_m fails to decode the data x_1 while applying the SIC technique. The probability $\Phi_2 = \Pr \left\{ \left(\gamma_{R_m \rightarrow x_1}^{(1)} \geq \gamma_{\text{th}} \right) \cap \left(\gamma_{R_m \rightarrow x_2}^{(1)} < \gamma_{\text{th}} \right) \right\}$ is the decoding error of the data x_2 at the secondary relay R_m . Finally, the probability $\Pr \left\{ \left(\gamma_{R_m \rightarrow x_1}^{(1)} \geq \gamma_{\text{th}} \right) \cap \left(\gamma_{R_m \rightarrow x_2}^{(1)} \geq \gamma_{\text{th}} \right) \cap \left(\gamma_{S_1 \rightarrow x}^{\text{UTW-2TS-DNC}} < \gamma_{\text{th}} \right) \right\}$ measures the decoding error of the coded data x at the secondary source S_1 . The event $\gamma_{S_1 \rightarrow x}^{\text{SIC-DNC}} < \gamma_{\text{th}}$ occurs independently with the events $\gamma_{R_m \rightarrow x_1}^{(1)} \geq \gamma_{\text{th}}$ and $\gamma_{R_m \rightarrow x_2}^{(1)} \geq \gamma_{\text{th}}$; thus, we have an equivalent representation of (18) as

$$\begin{aligned} \text{OP}_{\text{UTW-2TS-DNC-}S_1}^{(1)} &= \Phi_1 + \Phi_2 + \Pr \left\{ \left(\gamma_{R_m \rightarrow x_1}^{(1)} \geq \gamma_{\text{th}} \right) \cap \left(\gamma_{R_m \rightarrow x_2}^{(1)} \geq \gamma_{\text{th}} \right) \right\} \\ &\times \Pr \left\{ \gamma_{S_1 \rightarrow x}^{\text{UTW-2TS-DNC}} < \gamma_{\text{th}} \right\} \\ &= \Phi_1 + \Phi_2 + \left(\Pr \left\{ \gamma_{R_m \rightarrow x_1}^{(1)} \geq \gamma_{\text{th}} \right\} - \Pr \left\{ \left(\gamma_{R_m \rightarrow x_1}^{(1)} \geq \gamma_{\text{th}} \right) \right. \right. \\ &\cdot \left. \left. \cap \left(\gamma_{R_m \rightarrow x_2}^{(1)} < \gamma_{\text{th}} \right) \right\} \right) \times \Pr \left\{ \gamma_{S_1 \rightarrow x}^{\text{UTW-2TS-DNC}} < \gamma_{\text{th}} \right\} \\ &= \Phi_1 + \Phi_2 + \left(1 - \Pr \left\{ \gamma_{R_m \rightarrow x_1}^{(1)} < \gamma_{\text{th}} \right\} \right. \\ &- \Pr \left\{ \left(\gamma_{R_m \rightarrow x_1}^{(1)} \geq \gamma_{\text{th}} \right) \cap \left(\gamma_{R_m \rightarrow x_2}^{(1)} < \gamma_{\text{th}} \right) \right\} \\ &\times \Pr \left\{ \gamma_{S_1 \rightarrow x}^{\text{UTW-2TS-DNC}} < \gamma_{\text{th}} \right\} \\ &= (\Phi_1 + \Phi_2) \left(1 - \Pr \left\{ \gamma_{S_1 \rightarrow x}^{\text{UTW-2TS-DNC}} < \gamma_{\text{th}} \right\} \right) \\ &+ \Pr \left\{ \gamma_{S_1 \rightarrow x}^{\text{UTW-2TS-DNC}} < \gamma_{\text{th}} \right\}. \end{aligned} \quad (19)$$

To analyze the probabilities Φ_1 , Φ_2 , and $\Pr \left\{ \gamma_{S_1 \rightarrow x}^{\text{UTW-2TS-DNC}} < \gamma_{\text{th}} \right\}$, we define $G_{X_1 Y_1 / X_2 Y_2} = g_{X_1 Y_1} / g_{X_2 Y_2}$, where $X_1, X_2, Y_1, Y_2 \in \{S_1, S_2, R_i, \text{PR}\}$, $g_{X_1 Y_1} \neq g_{X_2 Y_2}$, and $i = \{1, 2, \dots, M\}$; then, by referring from [30] (eqs. 24–25), the CDF and PDF of the RV $G_{X_1 Y_1 / X_2 Y_2}$ are obtained as

$$F_{G_{X_1 Y_1 / X_2 Y_2}}(z) = \frac{\lambda_{X_1 Y_1} z}{\lambda_{X_2 Y_2} + \lambda_{X_1 Y_1} z}, \quad (20)$$

$$f_{G_{X_1 Y_1 / X_2 Y_2}}(x) = \frac{dF_{G_{X_1 Y_1 / X_2 Y_2}}(x)}{dx} = \frac{\lambda_{X_1 Y_1} \lambda_{X_2 Y_2}}{(\lambda_{X_2 Y_2} + \lambda_{X_1 Y_1} x)^2}. \quad (21)$$

For the selected secondary relay R_m from the S_1 - R_i links ($m, i = \{1, 2, \dots, M\}$), the CDF of the RV $G_{S_1 R_m / S_1 \text{PR}}$ is given as

$$\begin{aligned} F_{G_{S_1 R_m / S_1 \text{PR}}}(z) &= \Pr \left\{ G_{S_1 R_m / S_1 \text{PR}} < z \right\} = \Pr \left\{ \frac{g_{S_1 R_m}}{g_{S_1 \text{PR}}} < z \right\} \\ &= \Pr \left\{ g_{S_1 R_m} < z g_{S_1 \text{PR}} \right\} \\ &= \int_0^\infty f_{g_{S_1 \text{PR}}}(t) \times F_{g_{S_1 R_m}}(zt) dt. \end{aligned} \quad (22)$$

Substituting the CDF of the RV $g_{S_1 R_m}$ in (4) and the PDF of the RV $g_{S_1 \text{PR}}$ into (22), we have a final result as

$$\begin{aligned} F_{G_{S_1 R_m / S_1 \text{PR}}}(z) &= \int_0^\infty \lambda_4 e^{-\lambda_4 t} \sum_{p=0}^M \binom{p}{M} (-1)^p e^{-p \lambda_1 z t} dt \\ &= \lambda_4 \sum_{p=0}^M \binom{p}{M} (-1)^p \int_0^\infty e^{-t(\lambda_4 + p \lambda_1 z)} dt \\ &= \lambda_4 \sum_{p=0}^M \binom{p}{M} \frac{(-1)^p}{\lambda_4 + p \lambda_1 z}. \end{aligned} \quad (23)$$

The probabilities Φ_1 and Φ_2 are solved by Lemmas 1 and 2 as follows.

Lemma 1. The probability Φ_1 is solved as

$$\begin{aligned} \Phi_1 &= 1 + \lambda_2 \lambda_4 \lambda_5 \sum_{p=1}^M \binom{p}{M} \frac{(-1)^p}{\lambda_2 v_3(p) - \lambda_5 v_4(p)} \\ &\cdot \left(\frac{1}{\lambda_5} - \frac{v_4(p)}{\lambda_2 v_3(p) - \lambda_5 v_4(p)} \ln \left(\frac{\lambda_2 v_3(p)}{\lambda_5 v_4(p)} \right) \right), \end{aligned} \quad (24)$$

where $v_1 = \gamma_{\text{th}} \alpha_2 / \alpha_1$, $v_2 = \gamma_{\text{th}} / \alpha_1 Q$, $v_3(p)$, and $v_4(p)$ are functions versus count variable p and are defined, respectively, as $v_3(p) = \lambda_4 + p \lambda_1 v_2$ and $v_4(p) = p \lambda_1 v_1$.

Proof. (proven in Appendix A).

Lemma 2. The probability Φ_2 is given in two cases of ε as follows:

Case $\varepsilon = 0$ (perfect SICs):

$$\Phi_2 = \frac{\lambda_2 v_5}{\lambda_5 + \lambda_2 v_5} - \lambda_2 \lambda_4 \lambda_5 \sum_{p=0}^M \binom{P}{M} \frac{(-1)^p}{\lambda_2 v_3(p) - \lambda_5 v_4(p)} \times \left(\frac{\lambda_2 v_5}{\lambda_5 (\lambda_5 + \lambda_2 v_5)} - \frac{v_4(p)}{\lambda_2 v_3(p) - \lambda_5 v_4(p)} \ln \left(\frac{(\lambda_5 + \lambda_2 v_5) \times v_3(p)}{\lambda_5 \times (v_3(p) + v_5 v_4(p))} \right) \right). \quad (25)$$

Cas $\varepsilon = 1$ (imperfect SICs):

$$\Phi_2 = 1 - \lambda_5 e^{(\lambda_5 + \lambda_2 v_5) \Omega / \lambda_2 v_6} \Gamma \left(0, \frac{(\lambda_5 + \lambda_2 v_5) \Omega}{\lambda_2 v_6} \right) \left\{ \frac{\Omega}{\lambda_2 v_6} - \sum_{p=0}^M \binom{P}{M} \frac{(-1)^p}{\lambda_2 v_3(p) - \lambda_5 v_4(p)} \left(\frac{\Omega \lambda_4}{v_6} + \frac{\lambda_2 \lambda_4 v_4(p)}{\lambda_2 v_3(p) - \lambda_5 v_4(p)} \right) \right\} - \lambda_2 \lambda_4 \sum_{p=0}^M \binom{P}{M} \frac{(-1)^p}{\lambda_2 v_3(p) - \lambda_5 v_4(p)} \left\{ 1 - \frac{\lambda_5 v_4(p)}{\lambda_2 v_3(p) - \lambda_5 v_4(p)} \times \left(\ln \left(\frac{(\lambda_5 + \lambda_2 v_5) v_3(p)}{\lambda_5 (v_3(p) + v_5 v_4(p))} \right) - e^{(v_3(p) + v_5 v_4(p)) \Omega / v_6 v_4(p)} \Gamma \left(0, \frac{(v_3(p) + v_5 v_4(p)) \Omega}{v_6 v_4(p)} \right) \right) \right\}, \quad (26)$$

where $\Gamma(u, v)$ is the upper incomplete Gamma function ($\Gamma(u, v) = \int_v^\infty e^{-t} t^{u-1} dt$) ([42], eq. 8.350.2).

Proof. (see the proof and notations in Appendix B).

The last probability $\Pr \{ \gamma_{S_1 \rightarrow x}^{\text{UTW-2TS-DNC}} < \gamma_{\text{th}} \}$ in (19) is obtained by using formula (13) with $k = 1$ as

$$\begin{aligned} \Pr \{ \gamma_{S_1 \rightarrow x}^{\text{UTW-2TS-DNC}} < \gamma_{\text{th}} \} &= \Pr \left\{ \frac{Q g_{R_m S_1}}{g_{R_m \text{PR}}} < \gamma_{\text{th}} \right\} \\ &= \Pr \left\{ G_{R_m S_1 / R_m \text{PR}} < \frac{\gamma_{\text{th}}}{Q} \right\} \\ &= F_{G_{R_m S_1 / R_m \text{PR}}} \left(\frac{\gamma_{\text{th}}}{Q} \right) \\ &= \frac{\lambda_1 (\gamma_{\text{th}} / Q)}{\lambda_3 + \lambda_1 (\gamma_{\text{th}} / Q)} \\ &= \frac{\lambda_1 \gamma_{\text{th}}}{\lambda_3 Q + \lambda_1 \gamma_{\text{th}}}. \end{aligned} \quad (27)$$

Substituting (27) into (19), the outage probability of the secondary source S_1 is obtained by the closed-form expression

$$\text{OP}_{\text{UTW-2TS-DNC-}S_1}^{(1)} = \frac{(\Phi_1 + \Phi_2) \lambda_3 Q + \lambda_1 \gamma_{\text{th}}}{\lambda_3 Q + \lambda_1 \gamma_{\text{th}}}, \quad (28)$$

where Φ_1 and Φ_2 are given by closed-form expressions in Lemmas 1 and 2, respectively.

The secondary source S_2 expects to receive the desired data x_1 of the secondary source S_1 . Hence, the outage probability of the secondary source S_2 occurs when (1) the selected secondary relay R_m cannot decode the data x_1 or (2) the S_2 fails to decode the data transmitted by the R_m after the data x_1 is decoded successfully. We formulate the outage probability of the secondary source S_1 as

$$\text{OP}_{\text{UTW-2TS-DNC-}S_2}^{(1)} = \Pr \left\{ \gamma_{R_m \rightarrow x_1}^{(1)} < \gamma_{\text{th}} \right\} + \Pr \left\{ \left(\gamma_{R_m \rightarrow x_1}^{(1)} \geq \gamma_{\text{th}} \right) \cap \left(\gamma_{S_2 \rightarrow x}^{\text{UTW-2TS-DNC}} < \gamma_{\text{th}} \right) \right\}. \quad (29)$$

In (29), the probability part $\Pr \{ (\gamma_{R_m \rightarrow x_1}^{(1)} \geq \gamma_{\text{th}}) \cap (\gamma_{S_2 \rightarrow x}^{\text{UTW-2TS-DNC}} < \gamma_{\text{th}}) \}$ shows transmission errors of the data x_1 from the selected secondary relay R_m to the desired secondary source S_2 . Because the event $\gamma_{S_2 \rightarrow x}^{\text{UTW-2TS-DNC}} < \gamma_{\text{th}}$ occurs independently, and with the help of (13) with $k = 1$, the outage probability of the secondary source S_2 is manipulated and solved as

$$\begin{aligned} \text{OP}_{\text{UTW-2TS-DNC-}S_2}^{(1)} &= \Phi_1 + \Pr \left\{ \gamma_{R_m \rightarrow x_1}^{(1)} \geq \gamma_{\text{th}} \right\} \\ &\quad \times \Pr \left\{ \gamma_{S_2 \rightarrow x}^{\text{UTW-2TS-DNC}} < \gamma_{\text{th}} \right\} \\ &= \Phi_1 + (1 - \Phi_1) \times \Pr \left\{ \frac{Q g_{R_m S_2}}{g_{R_m \text{PR}}} < \gamma_{\text{th}} \right\} \\ &= \Phi_1 + (1 - \Phi_1) \times F_{G_{R_m S_2 / R_m \text{PR}}} \left(\frac{\gamma_{\text{th}}}{Q} \right) \\ &= \Phi_1 + (1 - \Phi_1) \times \left(\frac{\lambda_2 \gamma_{\text{th}}}{\lambda_3 Q + \lambda_2 \gamma_{\text{th}}} \right), \end{aligned} \quad (30)$$

where Φ_1 is given exactly by Lemma 1.

Next, the throughput of the UTW-2TS-DNC protocol as in (17) is solved in Case 1 ($d_{S_1 R_i} \leq d_{S_2 R_i}$) by

$$\begin{aligned} \text{TP}_{\text{UTW-2TS-DNC}}^{(1)} &= \frac{1}{2} \left(2 - \text{OP}_{\text{UTW-2TS-DNC-}S_1}^{(1)} - \text{OP}_{\text{UTW-2TS-DNC-}S_2}^{(1)} \right) \\ &\quad \cdot \log_2(1 + \gamma_{\text{th}}) \\ &= \frac{\log_2(1 + \gamma_{\text{th}})}{2} \left\{ 2 - 2\Phi_1 - (1 - \Phi_1) \right. \\ &\quad \cdot \left(\frac{\lambda_1 \gamma_{\text{th}}}{\lambda_3 Q + \lambda_1 \gamma_{\text{th}}} + \frac{\lambda_2 \gamma_{\text{th}}}{\lambda_3 Q + \lambda_2 \gamma_{\text{th}}} \right) \\ &\quad \left. - \frac{\lambda_3 Q \Phi_2}{\lambda_3 Q + \lambda_1 \gamma_{\text{th}}} \right\}, \end{aligned} \quad (31)$$

where Φ_1 and Φ_2 are taken from Lemmas 1 and 2, respectively.

3.1.2. Case 2: $d_{S_1 R_i} > d_{S_2 R_i}$. In this case, a selected secondary relay R_m must decode the data x_2 firstly. Because the system model of the UTW-2TS-DNC protocol in Figure 1 is

symmetric, we can model the outage probabilities in Case 1 as functions versus symmetric parameters as $\text{OP}_{\text{UTW-2TS-DNC-S}_1}^{(1)} \equiv \text{OP}_{\text{UTW-2TS-DNC-S}_1}^{(1)}(\lambda_1, \alpha_1, \lambda_2, \alpha_2)$ and $\text{OP}_{\text{UTW-2TS-DNC-S}_2}^{(1)} \equiv \text{OP}_{\text{UTW-2TS-DNC-S}_2}^{(1)}(\lambda_1, \alpha_1, \lambda_2, \alpha_2)$. Hence, in Case 2 ($d_{S_1R_i} > d_{S_2R_i}$), the outage probability of the secondary sources S_1 and S_2 can be inferred from Case 1 as follows:

$$\begin{aligned} \text{OP}_{\text{UTW-2TS-DNC-S}_1}^{(2)} &= \text{OP}_{\text{UTW-2TS-DNC-S}_2}^{(1)}(\lambda_2, \alpha_2, \lambda_1, \alpha_1), \quad (32) \\ \text{OP}_{\text{UTW-2TS-DNC-S}_2}^{(2)} &= \text{OP}_{\text{UTW-2TS-DNC-S}_1}^{(1)}(\lambda_2, \alpha_2, \lambda_1, \alpha_1), \end{aligned} \quad (33)$$

where $\text{OP}_{\text{UTW-2TS-DNC-S}_2}^{(1)}(\lambda_2, \alpha_2, \lambda_1, \alpha_1)$ and $\text{OP}_{\text{UTW-2TS-DNC-S}_1}^{(1)}(\lambda_2, \alpha_2, \lambda_1, \alpha_1)$ are closed-form outage probability expressions of the secondary sources S_2 and S_1 in Case 1 with replacing $\lambda_1 \leftrightarrow \lambda_2$ and $\alpha_1 \leftrightarrow \alpha_2$.

The throughput of the UTW-2TS-DNC protocol as in (17) is obtained in Case 2 by

$$\begin{aligned} \text{TP}_{\text{UTW-2TS-DNC}}^{(2)} &= \frac{\log_2(1 + \gamma_{\text{th}})}{2} \\ &\cdot \left(2 - \text{OP}_{\text{UTW-2TS-DNC-S}_1}^{(2)} - \text{OP}_{\text{UTW-2TS-DNC-S}_2}^{(2)} \right), \end{aligned} \quad (34)$$

where $\text{OP}_{\text{UTW-2TS-DNC-S}_1}^{(2)}$ and $\text{OP}_{\text{UTW-2TS-DNC-S}_2}^{(2)}$ are presented in (32) and (33), respectively.

3.2. The UTW-2TS-SC Protocol. Operation of the UTW-2TS-SC protocol is different to the UTW-2TS-DNC protocol in the time slot 2 (the last time slot) with power allocation coefficients β_1 and β_2 to decoded data x_1 and x_2 . Similar to the UTW-2TS-DNC protocol for Case 1 ($d_{S_1R_i} \leq d_{S_2R_i}$) and Case 2 ($d_{S_1R_i} > d_{S_2R_i}$), the outage probability and corresponding throughput of the secondary sources S_1 and S_2 will be obtained briefly in the next subsections.

3.2.1. Case 1: $d_{S_1R_i} \leq d_{S_2R_i}$. The decoding operation of the UTW-2TS-SC protocol is similar to that of the UTW-2TS-DNC protocol. One difference is that the secondary source S_1 expects to decode the desired data x_2 directly from the received signal y_{S_1} as in (14) where $k=1$ and $l=2$. Hence, the outage probability of the secondary source S_1 (denoted as $\text{OP}_{\text{UTW-2TS-SC-S}_1}^{(1)}$) is expressed in Case 1 ($d_{S_1R_i} \leq d_{S_2R_i}$) as

$$\begin{aligned} \text{OP}_{\text{UTW-2TS-SC-S}_1}^{(1)} &= \Pr \left\{ \gamma_{R_m \rightarrow x_1}^{(1)} < \gamma_{\text{th}} \right\} \\ &+ \Pr \left\{ \left(\gamma_{R_m \rightarrow x_1}^{(1)} \geq \gamma_{\text{th}} \right) \cap \left(\gamma_{R_m \rightarrow x_2}^{(1)} < \gamma_{\text{th}} \right) \right\} \\ &+ \Pr \left\{ \left(\gamma_{R_m \rightarrow x_1}^{(1)} \geq \gamma_{\text{th}} \right) \cap \left(\gamma_{R_m \rightarrow x_2}^{(1)} \geq \gamma_{\text{th}} \right) \right. \\ &\cdot \left. \cap \left(\gamma_{S_1 \rightarrow x_2}^{\text{UTW-2TS-SC}} < \gamma_{\text{th}} \right) \right\} \\ &= (\Phi_1 + \Phi_2) \times \left(1 - \Pr \left\{ \gamma_{S_1 \rightarrow x_2}^{\text{UTW-2TS-SC}} < \gamma_{\text{th}} \right\} \right) \\ &+ \Pr \left\{ \gamma_{S_1 \rightarrow x_2}^{\text{UTW-2TS-SC}} < \gamma_{\text{th}} \right\}, \end{aligned} \quad (35)$$

where Φ_1 and Φ_2 are obtained from Lemmas 1 and 2.

In (35), the probability $\Pr \left\{ \left(\gamma_{R_m \rightarrow x_1}^{(1)} \geq \gamma_{\text{th}} \right) \cap \left(\gamma_{R_m \rightarrow x_2}^{(1)} \geq \gamma_{\text{th}} \right) \cap \left(\gamma_{S_1 \rightarrow x_2}^{\text{UTW-2TS-SC}} < \gamma_{\text{th}} \right) \right\}$ directly calculates the decoding error of the data x_2 at the secondary source S_1 .

Substituting (16) with $k=1$ and $l=2$ into the probability $\Pr \left\{ \gamma_{S_1 \rightarrow x_2}^{\text{UTW-2TS-SC}} < \gamma_{\text{th}} \right\}$ in (35) and performing similarly as (27), we have a result as

$$\begin{aligned} \Pr \left\{ \gamma_{S_1 \rightarrow x_2}^{\text{UTW-2TS-SC}} < \gamma_{\text{th}} \right\} &= \Pr \left\{ \frac{Q\beta_2 g_{R_m S_1}}{g_{R_m \text{PR}}} < \gamma_{\text{th}} \right\} \\ &= \Pr \left\{ G_{R_m S_1 / R_m \text{PR}} < \frac{\gamma_{\text{th}}}{\beta_2 Q} \right\} \\ &= F_{G_{R_m S_1 / R_m \text{PR}}} \left(\frac{\gamma_{\text{th}}}{\beta_2 Q} \right) \quad (36) \\ &= \frac{\lambda_1 (\gamma_{\text{th}} / (\beta_2 Q))}{\lambda_3 + \lambda_1 (\gamma_{\text{th}} / (\beta_2 Q))} \\ &= \frac{\lambda_1 \gamma_{\text{th}}}{\lambda_3 \beta_2 Q + \lambda_1 \gamma_{\text{th}}}. \end{aligned}$$

Hence, the outage probability $\text{OP}_{\text{UTW-2TS-SC-S}_1}^{(1)}$ is solved in a closed-form expression with Φ_1 , Φ_2 , and $\Pr \left\{ \gamma_{S_1 \rightarrow x_2}^{\text{UTW-2TS-SC}} < \gamma_{\text{th}} \right\}$ from Lemmas 1 and 2 and (36).

We see that because $\beta_2 \leq 1$, then $\Pr \left\{ \gamma_{S_1 \rightarrow x_2}^{\text{UTW-2TS-SC}} < \gamma_{\text{th}} \right\} \geq \Pr \left\{ \gamma_{S_1 \rightarrow x_2}^{\text{UTW-2TS-DNC}} < \gamma_{\text{th}} \right\}$ which leads to $\text{OP}_{\text{UTW-2TS-SC-S}_1}^{(1)} \geq \text{OP}_{\text{UTW-2TS-DNC-S}_1}^{(1)}$.

Similarly, the remaining outage probability of the secondary source S_2 is obtained as

$$\begin{aligned} \text{OP}_{\text{UTW-2TS-SC-S}_2}^{(1)} &= \Pr \left\{ \gamma_{R_m \rightarrow x_1}^{(1)} < \gamma_{\text{th}} \right\} + \Pr \left\{ \left(\gamma_{R_m \rightarrow x_1}^{(1)} \geq \gamma_{\text{th}} \right) \right. \\ &\cdot \left. \cap \left(\gamma_{S_2 \rightarrow x_1}^{\text{UTW-2TS-SC}} < \gamma_{\text{th}} \right) \right\} \\ &= \Phi_1 + (1 - \Phi_1) \times \left(\frac{\lambda_2 \gamma_{\text{th}}}{\lambda_3 \beta_1 Q + \lambda_2 \gamma_{\text{th}}} \right). \end{aligned} \quad (37)$$

Then, the throughput of the UTW-2TS-SC protocol is solved in Case 1 ($d_{S_1R_i} \leq d_{S_2R_i}$) by

$$\begin{aligned} \text{TP}_{\text{UTW-2TS-SC}}^{(1)} &= \frac{1}{2} \left(2 - \text{OP}_{\text{UTW-2TS-SC-S}_1}^{(1)} - \text{OP}_{\text{UTW-2TS-SC-S}_2}^{(1)} \right) \\ &\cdot \log_2(1 + \gamma_{\text{th}}) \\ &= \frac{\log_2(1 + \gamma_{\text{th}})}{2} \left\{ 2 - 2\Phi_1 - (1 - \Phi_1) \right. \\ &\cdot \left(\frac{\lambda_1 \gamma_{\text{th}}}{\lambda_3 \beta_2 Q + \lambda_1 \gamma_{\text{th}}} + \frac{\lambda_2 \gamma_{\text{th}}}{\lambda_3 \beta_2 Q + \lambda_2 \gamma_{\text{th}}} \right) \\ &\left. - \frac{\lambda_3 \beta_2 Q \Phi_2}{\lambda_3 \beta_2 Q + \lambda_1 \gamma_{\text{th}}} \right\}. \end{aligned} \quad (38)$$

3.2.2. Case 2: $d_{S_1R_i} > d_{S_2R_i}$. We can also model the outage probabilities in Case 1 as functions versus parameters as

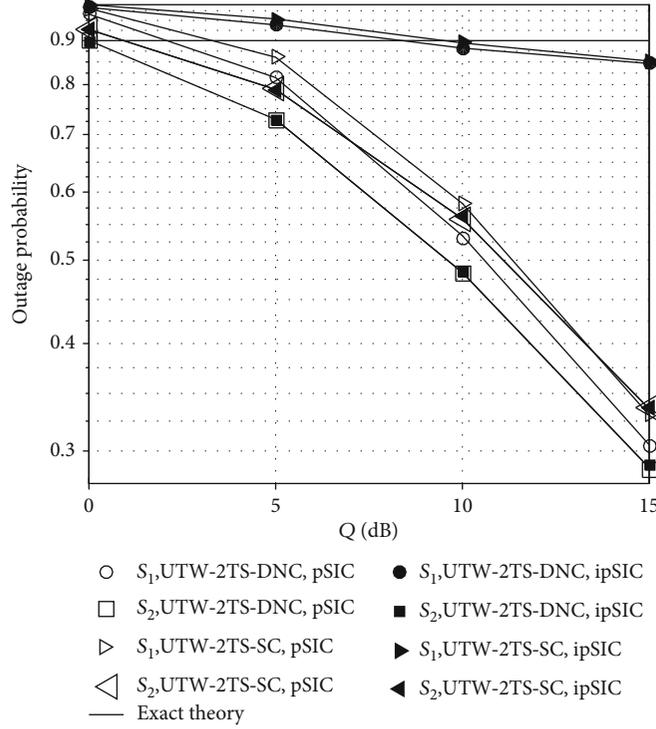


FIGURE 2: Outage probabilities of the secondary sources S_1 and S_2 versus Q (dB) when $\alpha_1 = \alpha_2 = 0.5$, $\beta_1 = 0.7$, $\beta_2 = 1 - \beta_1 = 0.3$, $\Psi = 0$ (dB), $x_R = 0.3$, $y_R = 0$, $x_{PR} = y_{PR} = 0.5$, and $M = 3$.

$OP_{UTW-2TS-SC-S_1}^{(1)}(\lambda_1, \alpha_1, \beta_1, \lambda_2, \alpha_2, \beta_2)$ and $OP_{UTW-2TS-SC-S_2}^{(1)}(\lambda_1, \alpha_1, \beta_1, \lambda_2, \alpha_2, \beta_2)$. Because of the symmetry model of the UTW-2TS-SC protocol in Figure 1, the outage probability of the secondary sources S_1 and S_2 in Case 2 can be concluded from Case 1 as follows:

$$OP_{UTW-2TS-SC-S_1}^{(2)} = OP_{UTW-2TS-SC-S_2}^{(1)}(\lambda_2, \alpha_2, \beta_2, \lambda_1, \alpha_1, \beta_1), \quad (39)$$

$$OP_{UTW-2TS-SC-S_2}^{(2)} = OP_{UTW-2TS-SC-S_1}^{(1)}(\lambda_2, \alpha_2, \beta_2, \lambda_1, \alpha_1, \beta_1), \quad (40)$$

where $OP_{UTW-2TS-SC-S_2}^{(1)}(\lambda_2, \alpha_2, \beta_2, \lambda_1, \alpha_1, \beta_1)$ and $OP_{UTW-2TS-SC-S_1}^{(1)}(\lambda_2, \alpha_2, \beta_2, \lambda_1, \alpha_1, \beta_1)$ are closed-form outage probability expressions of the secondary sources S_2 and S_1 in Case 1 with replacing $\lambda_1 \leftrightarrow \lambda_2$, $\alpha_1 \leftrightarrow \alpha_2$, and $\beta_1 \leftrightarrow \beta_2$.

The corresponding throughput of the UTW-2TS-SC protocol is obtained in Case 2 as

$$TP_{UTW-2TS-SC}^{(2)} = \frac{\log_2(1 + \gamma_{th})}{2} \cdot \left(2 - OP_{UTW-2TS-SC-S_1}^{(2)} - OP_{UTW-2TS-SC-S_2}^{(2)} \right), \quad (41)$$

where $OP_{UTW-2TS-SC-S_1}^{(2)}$ and $OP_{UTW-2TS-SC-S_2}^{(2)}$ are given in (39) and (40), respectively.

4. Results and Discussions

This section presents analysis and simulation results in terms of outage probabilities and throughputs of the protocols UTW-2TS-DNC and UTW-2TS-SC in the two-dimensional plane. These proposed protocols are considered in two cases of perfect SICs ($\varepsilon = 0$, denoted by pSIC) and imperfect SICs ($\varepsilon = 1$, denoted by ipSIC). The simulation results are performed by the Monte Carlo method to validate the analysis ones which are shown from exact closed-form expressions. Coordinates of the nodes S_1 , S_2 , PR, and the cluster with M secondary relay R_i are set as $S_1(0, 0)$, $S_2(1, 0)$, PR(x_{PR}, y_{PR}), and $R_i(x_R, y_R)$, where $0 < x_R < 1$ and $i = \{1, 2, \dots, M\}$. The normalized distances are calculated from the coordinates as $d_1 = \sqrt{x_R^2 + y_R^2}$, $d_2 = \sqrt{(1 - x_R)^2 + y_R^2}$, $d_3 = \sqrt{(x_{PR} - x_R)^2 + (y_{PR} - y_R)^2}$, $d_4 = \sqrt{x_{PR}^2 + y_{PR}^2}$, and $d_5 = \sqrt{(1 - x_{PR})^2 + y_{PR}^2}$. It is assumed that the threshold SINR and the path loss exponent are fixed by $\gamma_{th} = 3$ and $\eta = 3$, and Q (dB) on the x -axis is defined as $Q = 10 \times \log_{10}(I/\sigma^2)$ (dB). Markers denote simulated results, and solid lines present analyzed ones.

Figure 2 shows the outage probabilities of the secondary sources S_1 and S_2 in the protocols UTW-2TS-DNC and UTW-2TS-SC versus Q (dB) when $x_R = 0.3$, $y_R = 0$, $x_{PR} = y_{PR} = 0.5$, $\Psi = 0$ (dB), $M = 3$, and interference allocation coefficients $\alpha_1 = \alpha_2 = 0.5$ [19, 30]. The normalized distances are calculated as $d_1 = 0.3$, $d_2 = 0.7$, $d_3 = 0.54$, $d_4 = 0.71$, and $d_5 = 0.71$; hence, the results are presented following Case 1

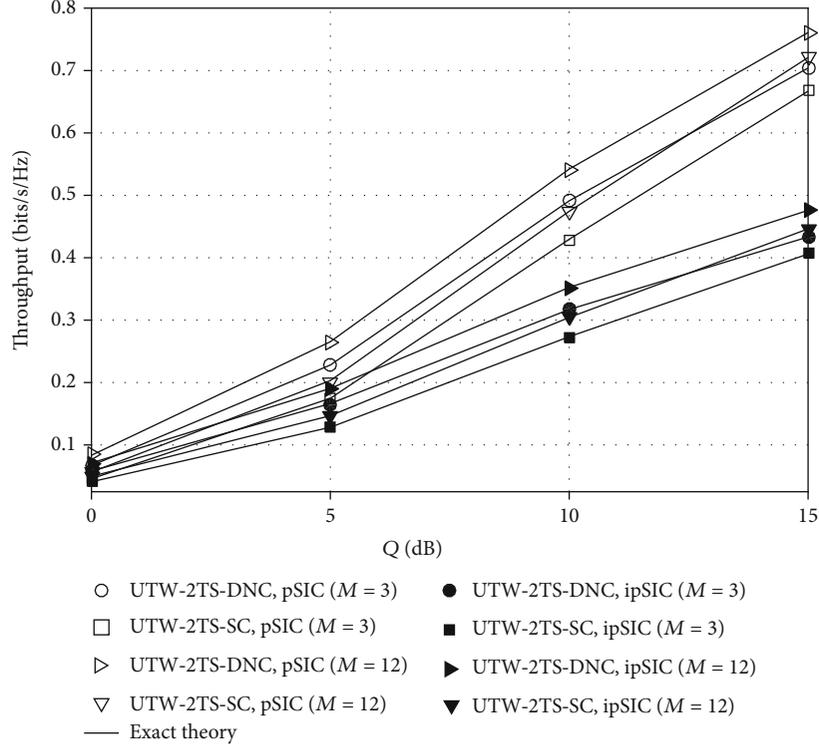


FIGURE 3: Throughputs of the protocols UTW-2TS-DNC and UTW-2TS-SC versus Q (dB) when $\alpha_1 = \alpha_2 = 0.5$, $\beta_1 = 0.7$, $\beta_2 = 1 - \beta_1 = 0.3$, $\Psi = 0$ (dB), $x_R = 0.3$, $y_R = 0$, $x_{PR} = y_{PR} = 0.5$, and $M = \{3, 12\}$.

($d_{S_1, R_i} \leq d_{S_2, R_i}$). The power allocation coefficients β_1 and β_2 at the selected secondary relay are suitably set as $\beta_1 = 0.7$ and $\beta_2 = 1 - \beta_1 = 0.3$. We note that the power allocation coefficients β_1 and β_2 to carry the desired data x_1 and x_2 from the selected relay to the S_2 and S_1 , respectively. Simulated results are performed from equations (18) and (29) by making RVs in (3), (7), and (13), whereas exact theory values are calculated by closed-form expressions in (28) and (30) for the secondary sources S_1 and S_2 , respectively. From Figure 2, some findings are watched as follows. Firstly, the outage probabilities of the secondary source S_1 and S_2 in both protocols with the perfect SICs and imperfect SICs decrease when the interference constraint parameters Q increase. Secondly, the system performances of the secondary source S_1 with the perfect SICs are always better than those with the imperfect SICs. We note that the outage probabilities of the secondary source S_2 in both protocols are not affected by SIC operations, and thus, the system performances of the secondary source S_2 with the perfect SICs and the imperfect SICs are identical (markers and solid line are merged in Figure 2). Thirdly, in this case, the outage probabilities of the secondary source S_2 are almost smaller than those of the secondary source S_1 . Finally, the results (solid lines) from the theory expressions of the outage probabilities are exact to the Monte Carlo simulations. These findings can be reasoned that the received SINRs in (3) and (7) to decode the data x_1 and x_2 declined by more interferences from transmittance of the data-carried signal x_2 , affecting of the residual interference signal and interference constraints as in (1). In addition, with

large values of Q , the transmit powers of the nodes S_1 , S_2 , and R_m increase as in (1) which correspond to large SINRs to decode the data x_1 , x_2 , and x as in (3), (7), (13), and (16), where $m = \{1, 2, \dots, M\}$.

Figure 3 compares the throughputs of the UTW-2TS-DNC and UTW-2TS-SC protocols versus Q (dB) when $x_R = 0.3$, $y_R = 0$, $x_{PR} = y_{PR} = 0.5$, $\Psi = 0$ (dB), $\alpha_1 = \alpha_2 = 0.5$, $\beta_1 = 0.7$, $\beta_2 = 1 - \beta_1 = 0.3$, and the number of intermediate secondary relays is set as $M \in \{3, 12\}$. Exact throughput curves of the UTW-2TS-DNC and UTW-2TS-SC protocols are obtained by theoretic analyses in (31) and (38). Simulation markers are carried out by (17) with separate outage probabilities for each protocol. From Figure 3, the throughputs of the UTW-2TS-DNC protocol are larger than those of the UTW-2TS-SC protocol with all the cases of SICs and M . In addition, the throughputs of both protocols increase when the UTW-2TS systems use more secondary relays (M is increased). These contributions are based on observations from SINRs (13) and (16). With $0 \leq \beta_1$ and $\beta_2 \leq 1$, the SINRs in (13) of the UTW-2TW-DNC protocol are always larger than those in (16) of the UTW-2TW-SC protocol. Furthermore, with more cooperative secondary relays, the diversity capacity of both protocols will be increased by the relay selection methods.

Figure 4 presents the throughputs of the protocols UTW-2TS-DNC and UTW-2TS-SC versus α_1 when $Q = 10$ (dB), $\beta_1 = 0.7$, $\beta_2 = 1 - \beta_1 = 0.3$, $\Psi = -5$ (dB), $x_R = 0.3$, $y_R = 0$, $x_{PR} = y_{PR} = 0.5$, $M = 3$, and α_2 can be set as $\alpha_2 = 1 - \alpha_1$. In Figure 4, the throughputs of the protocols UTW-2TS-DNC

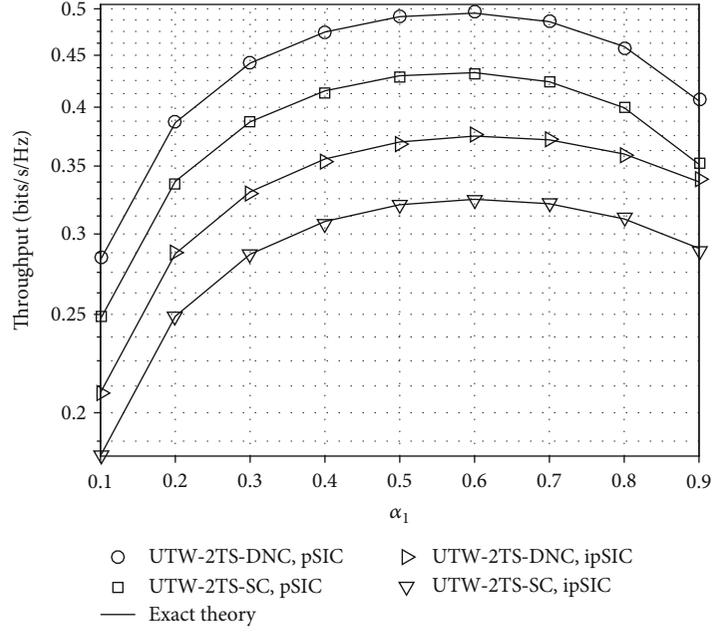


FIGURE 4: Throughputs of the protocols UTW-2TS-DNC and UTW-2TS-SC versus α_1 when $Q = 10$ (dB), $\beta_1 = 0.7$, $\beta_2 = 1 - \beta_1 = 0.3$, $\Psi = -5$ (dB), $x_R = 0.3$, $y_R = 0$, $x_{PR} = y_{PR} = 0.5$, $M = 3$, and $\alpha_2 = 1 - \alpha_1$.

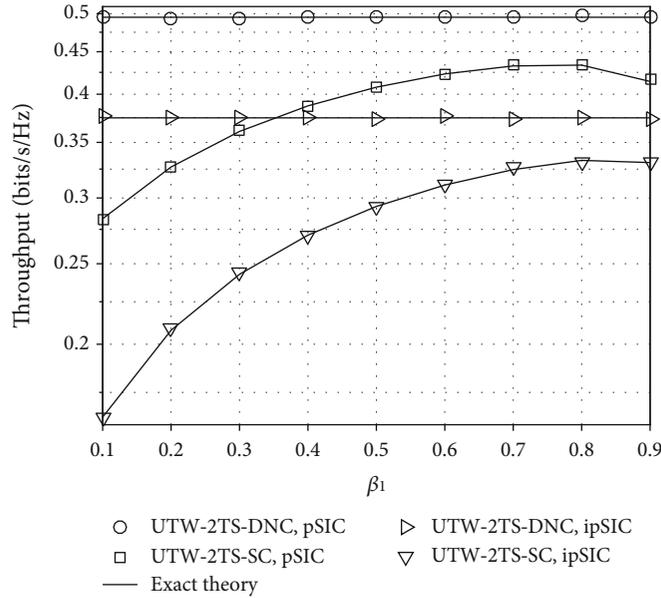


FIGURE 5: Throughputs of the protocols UTW-2TS-DNC and UTW-2TS-SC versus β_1 when $Q = 10$ (dB), $\alpha_1 = 0.6$, $\alpha_2 = 1 - \alpha_1 = 0.4$, $\Psi = -5$ (dB), $x_R = 0.3$, $y_R = 0$, $x_{PR} = y_{PR} = 0.5$, $M = 3$, and $\beta_2 = 1 - \beta_1$.

and UTW-2TS-SC achieve the large values at an approximate interference power allocation coefficient $\alpha_1 \approx 0.6$ for both cases (perfect SICs and imperfect SICs). The value $\alpha_1 \approx 0.6$ is to balance constraints such as interferences from the secondary network to the primary network, locations of the secondary relays, and perfect/imperfect SIC operations.

Figure 5 shows the throughputs of the protocols UTW-2TS-DNC and UTW-2TS-SC versus β_1 when $Q = 10$ (dB), $\alpha_1 = 0.6$, $\alpha_2 = 1 - \alpha_1 = 0.4$, $\Psi = -5$ (dB), $x_R = 0.3$, $y_R = 0$, $x_{PR} = y_{PR} = 0.5$, $M = 3$, and β_2 is changed as $\beta_2 =$

$1 - \beta_1$. As observed from Figure 5, the UTW-2TS-DNC protocol is not affected by the power allocation coefficients β_1 and β_2 , and the UTW-2TS-SC protocol reaches the largest throughputs at approximate values $\beta_1 = 0.7$ and $\beta_1 = 0.8$ corresponding to perfect SICs and imperfect SICs, respectively. These values $\beta_1 = 0.7$ and $\beta_1 = 0.8$ are to equalize the SINR qualities between two hops from the selected secondary relay to the secondary sources.

Figure 6 shows the throughputs of the protocols UTW-2TS-DNC and UTW-2TS-SC versus α_1 and β_1 in the three-

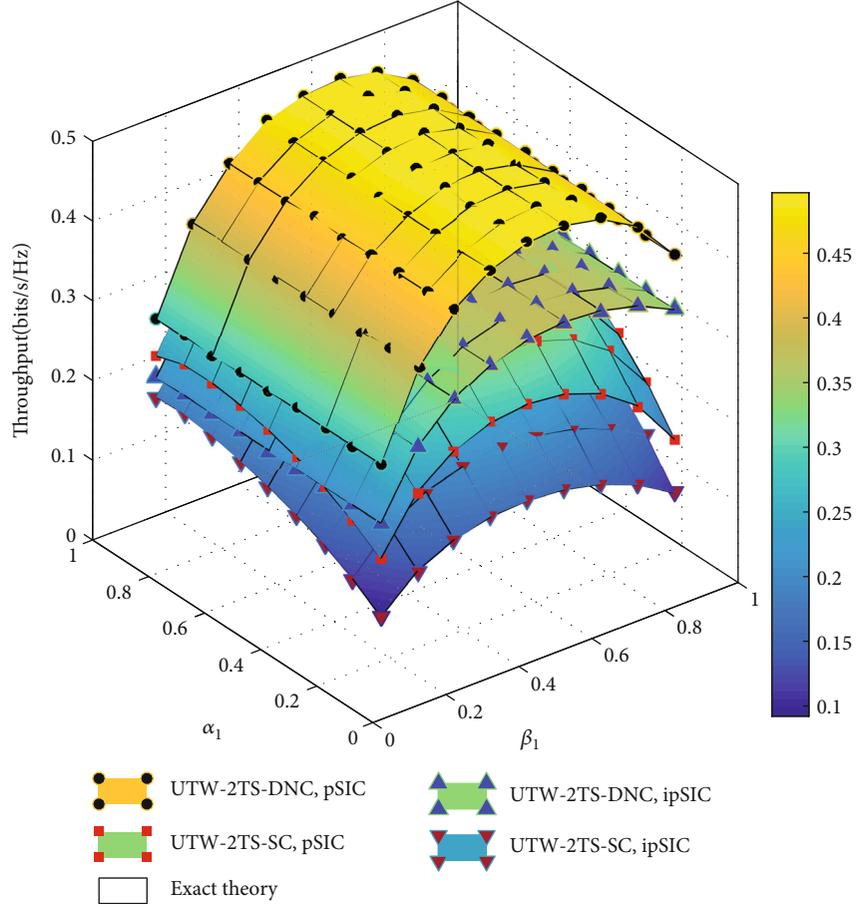


FIGURE 6: Throughputs of the protocols UTW-2TS-DNC and UTW-2TS-SC versus α_1 and β_1 when $Q = 10$ (dB), $\Psi = -5$ (dB), $x_R = 0.3$, $y_R = 0$, $x_{PR} = y_{PR} = 0.5$, $M = 3$, $\alpha_2 = 1 - \alpha_1$, and $\beta_2 = 1 - \beta_1$.

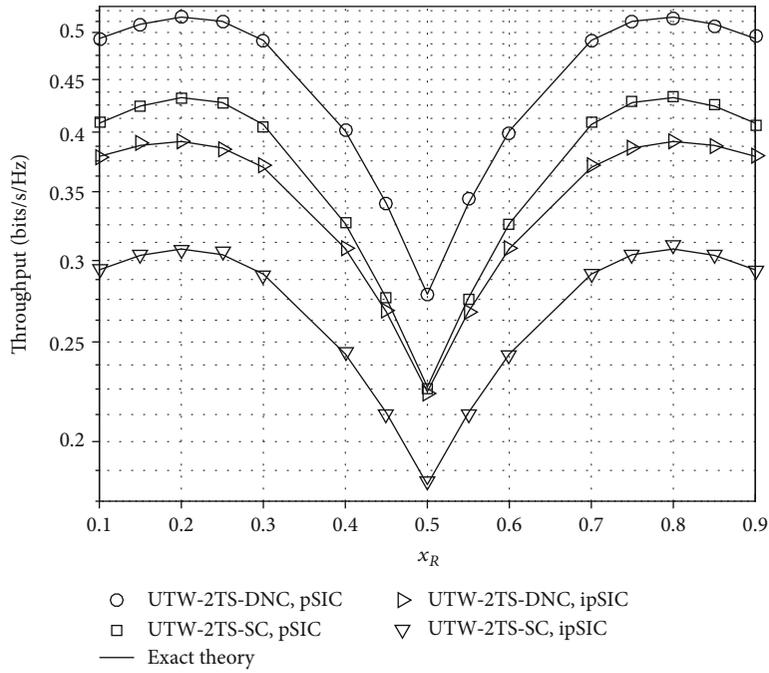


FIGURE 7: Throughputs of the protocols UTW-2TS-DNC and UTW-2TS-SC versus x_R when $Q = 10$ (dB), $\alpha_1 = \alpha_2 = 0.5$, $\beta_1 = \beta_2 = 0.5$, $\Psi = -5$ (dB), $x_{PR} = y_{PR} = 0.5$, $M = 3$, and $y_R = 0$.

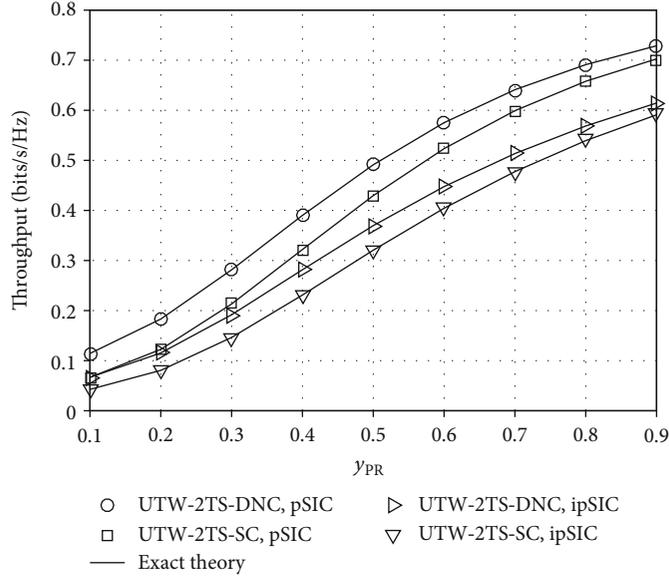


FIGURE 8: Throughputs of the protocols UTW-2TS-DNC and UTW-2TS-SC versus y_{PR} when $Q = 10$ (dB), $\alpha_1 = \alpha_2 = 0.5$, $\beta_1 = 0.7$, $\beta_2 = 1 - \beta_1 = 0.3$, $\Psi = -5$ (dB), $x_R = 0.3$, $y_R = 0$, $M = 3$, and $x_{PR} = 0.5$.

dimensional plane when $Q = 10$ (dB), $\Psi = -5$ (dB), $x_R = 0.3$, $y_R = 0$, $x_{PR} = y_{PR} = 0.5$, $M = 3$, and α_2 and β_2 are set as $\alpha_2 = 1 - \alpha_1$ and $\beta_2 = 1 - \beta_1$. The value ranges of α_1 and β_1 are established between 0.1 and 0.9. The results from Figure 6 confirm the contributions from Figures 4 and 5.

Figure 7 presents the throughputs of the protocols UTW-2TS-DNC and UTW-2TS-SC versus x_R when $Q = 10$ (dB), $\alpha_1 = \alpha_2 = 0.5$, $\beta_1 = \beta_2 = 0.5$, $\Psi = -5$ (dB), $x_{PR} = y_{PR} = 0.5$, $M = 3$, and y_R is fixed as $y_R = 0$. In this simulation, the normalized distances d_4 and d_5 are fixed at the same value 0.7 and the remaining normalized distances d_1 , d_2 , and d_3 are changed from 0.1 to 0.9 for d_1 , 0.9 to 0.1 for d_2 (the distance d_2 has a reverse change versus the distance d_1), and 0.64 to 0.5 and back to 0.64 for d_3 . From Figure 7, these proposed protocols UTW-2TS-DNC and UTW-2TS-SC achieve the largest throughputs at asymmetric locations of the selected secondary relay as $x_R \approx 0.2$ ($d_1 = 0.2$, $d_2 = 0.8$) and $x_R \approx 0.8$ ($d_1 = 0.8$, $d_2 = 0.2$) because of decoding the received data sequentially (SIC operations). In addition, at the symmetric location $x_R = 0.5$ ($d_1 = d_2 = 0.5$), the throughputs of both protocols again have the lowest values.

Figure 8 presents the throughputs of the protocols UTW-2TS-DNC and UTW-2TS-SC versus y_{PR} when $Q = 10$ (dB), $\alpha_1 = \alpha_2 = 0.5$, $\beta_1 = 0.7$, $\beta_2 = 1 - \beta_1 = 0.3$, $\Psi = -5$ (dB), $x_R = 0.3$, $y_R = 0$, $M = 3$, and x_{PR} is fixed at $x_{PR} = 0.5$. In this case, the normalized distances d_1 and d_2 are fixed to 0.3 and 0.7, respectively, and the primary receiver (PR) is moving farther the secondary network characterized by changing the normalized distances d_3 , d_4 , and d_5 from 0.22 to 0.92 for d_3 and from 0.51 to 1.03 for d_4 and d_5 . As shown in Figure 8, the throughputs of the protocols UTW-2TS-DNC and UTW-2TS-SC are enhanced when the value of y_{PR} increases because of low influence of the interference constraint to the sources and relays in the secondary network. Therefore, the secondary sources and relays can transmit with the maxi-

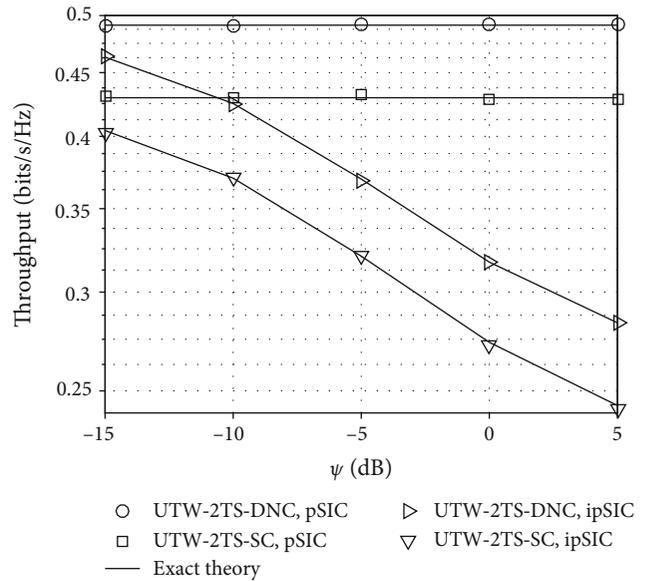


FIGURE 9: Throughputs of the protocols UTW-2TS-DNC and UTW-2TS-SC versus Ψ when $Q = 10$ (dB), $\alpha_1 = \alpha_2 = 0.5$, $\beta_1 = 0.7$, $\beta_2 = 1 - \beta_1 = 0.3$, $x_R = 0.3$, $y_R = 0$, $x_{PR} = y_{PR} = 0.5$, and $M = 3$.

imum power, and interference cancelling and decoding capacity at the secondary relays and the secondary sources increase.

Figure 9 presents the throughputs of the protocols UTW-2TS-DNC and UTW-2TS-SC versus the residual interference powers Ψ when $Q = 10$ (dB), $\alpha_1 = \alpha_2 = 0.5$, $\beta_1 = 0.7$, $\beta_2 = 1 - \beta_1 = 0.3$, $x_R = 0.3$, $y_R = 0$, $x_{PR} = y_{PR} = 0.5$, and $M = 3$. Considering the case of the imperfect SICs for both protocols, the throughput performances decrease when the residual interference powers increase. Furthermore, the throughputs of the protocols UTW-2TS-DNC and UTW-2TS-SC in the

perfect SICs are upper limitations (expectations) of the ones in the imperfect SICs when $\Psi \rightarrow -\infty$ (dB).

5. Conclusions

In this paper, we proposed and analyzed the underlay two-way relaying scheme with two secondary sources and multiple secondary relays, known as the UTW-2TS scheme. The UTW-2TS scheme with the SIC solution operated in only two time slots and under an interference constraint of the primary receiver. The secondary relays decode successively the data transmitted by two secondary sources and then encode these data by two techniques: the DNC enforced by XOR operations (known as the UTW-2TS-DNC protocol) and the SC enforced by power domain additions (known as the UTW-2TS-SC protocol). A selected secondary relay which subjects to maximize the decoding capacities and to minimize the collection time of CSI in the proposed protocols UTW-2TS-DNC and UTW-2TS-SC suffered the residual interferences from the imperfect SIC operations. Exact outage probabilities and throughputs were derived to evaluate the system performance of the proposed UTW-2TS-DNC and UTW-2TS-SC protocols. Simulation and analysis results provided discoveries of the performance improvements by increasing of the number of the cooperative secondary relays, the interference constraints, and the distances from the secondary network to the primary receiver. In addition, the proposed UTW-2TS-DNC and UTW-2TS-SC protocols achieved the best throughputs at optimal interference power allocation coefficients and optimal locations of the selected secondary relay. Considering the same power consumption, the UTW-2TS-DNC protocol performed better than the UTW-2TS-SC protocol. Finally, the analysis results of the outage probabilities and throughputs were validated by the Monte Carlo simulations.

Appendix

A. Proof of Lemma 1

Substituting (3) into the formula of Φ_1 as in (18), we have the expression

$$\begin{aligned} \Phi_1 &= \Pr \left\{ \frac{\alpha_1 Q g_{S_1 R_m} g_{S_2 PR}}{\alpha_2 Q g_{S_2 R_m} g_{S_1 PR} + g_{S_1 PR} g_{S_2 PR}} < \gamma_{th} \right\} \\ &= \Pr \left\{ \frac{g_{S_1 R_m}}{g_{S_1 PR}} < \frac{\gamma_{th} (\alpha_2 Q g_{S_2 R_m} + g_{S_2 PR})}{\alpha_1 Q g_{S_2 PR}} \right\} \\ &= \Pr \left\{ G_{S_1 R_m / S_1 PR} < \underbrace{\frac{\gamma_{th} \alpha_2}{\alpha_1}}_{v_1} G_{S_2 R_m / S_2 PR} + \underbrace{\frac{\gamma_{th}}{\alpha_1 Q}}_{v_2} \right\} \\ &= \int_0^\infty f_{G_{S_2 R_m / S_2 PR}}(y) \times F_{G_{S_1 R_m / S_1 PR}}(v_1 y + v_2) dy. \end{aligned} \quad (A.1)$$

From (23), we infer the CDF and the corresponding PDF of the RV $G_{S_2 R_m / S_2 PR}$ as

$$\begin{aligned} F_{G_{S_2 R_m / S_2 PR}}(z) &= \lambda_5 \sum_{p=0}^1 \binom{0}{1} \frac{(-1)^p}{\lambda_5 + p \lambda_2 z} = \lambda_5 - \frac{1}{\lambda_5 + \lambda_2 z} \\ &= \frac{\lambda_2 z}{\lambda_5 + \lambda_2 z}, \end{aligned} \quad (A.2)$$

$$f_{G_{S_2 R_m / S_2 PR}}(z) = \frac{\partial F_{G_{S_2 R_m / S_2 PR}}(z)}{\partial z} = \frac{\lambda_2 \lambda_5}{(\lambda_5 + \lambda_2 z)^2}. \quad (A.3)$$

Substituting (23) and (A.3) into (A.1), we have the equivalent formula:

$$\begin{aligned} \Phi_1 &= \int_0^\infty \frac{\lambda_2 \lambda_5}{(\lambda_5 + \lambda_2 y)^2} \lambda_4 \sum_{p=0}^M \binom{p}{M} \frac{(-1)^p}{\lambda_4 + p \lambda_1 (v_1 y + v_2)} dy \\ &= \lambda_2 \lambda_4 \lambda_5 \sum_{p=0}^M \binom{p}{M} (-1)^p \int_0^\infty \frac{dy}{(\lambda_4 + p \lambda_1 v_2 + p \lambda_1 v_1 y)(\lambda_5 + \lambda_2 y)^2}. \end{aligned} \quad (A.4)$$

By performing variable transformations for the integral in (A.4) as $t = 1/(\lambda_5 + \lambda_2 y)$ and $y = v_4(p) + (\lambda_2 v_3(p) - \lambda_5 v_4(p))t$, where $v_3(p) = \lambda_4 + p \lambda_1 v_2$ and $v_4(p) = p \lambda_1 v_1$, Lemma 1 is proven completely.

B. Proof of Lemma 2

Substituting (3) and (6) into the formula of Φ_2 as in (18), the probability Φ_2 is expressed as

$$\begin{aligned} \Phi_2 &= \Pr \left\{ \left(\frac{\alpha_1 Q g_{S_1 R_m} g_{S_2 PR}}{\alpha_2 Q g_{S_2 R_m} g_{S_1 PR} + g_{S_1 PR} g_{S_2 PR}} \geq \gamma_{th} \right) \cap \left(\frac{P_{S_2} g_{S_2 R_m}}{\epsilon |r_m|^2 + \sigma^2} < \gamma_{th} \right) \right\} \\ &= \Pr \left\{ \left(G_{S_1 R_m / S_1 PR} \geq v_1 G_{S_2 R_m / S_2 PR} + v_2 \right) \cap \left(G_{S_2 R_m / S_2 PR} < \underbrace{\frac{\gamma_{th}}{\alpha_2 Q}}_{v_5} + \epsilon \underbrace{\frac{\gamma_{th}}{\alpha_2}}_{v_6} g_m \right) \right\}. \end{aligned} \quad (B.1)$$

In (B.1), we consider two cases of perfect SICs ($\epsilon = 0$) and imperfect SICs ($\epsilon = 1$) to solve the following:

Case $\epsilon = 0$: formula (B.1) is expressed and manipulated as

$$\begin{aligned} \Phi_2 &= \Pr \left\{ (G_{S_1 R_m / S_1 PR} \geq v_1 G_{S_2 R_m / S_2 PR} + v_2) \cap (G_{S_2 R_m / S_2 PR} < v_5) \right\} \\ &= \int_0^{v_5} f_{G_{S_2 R_m / S_2 PR}}(y) \times \left(1 - F_{G_{S_1 R_m / S_1 PR}}(v_1 y + v_2) \right) dy \\ &= F_{G_{S_2 R_m / S_2 PR}}(v_5) - \int_0^{v_5} f_{G_{S_2 R_m / S_2 PR}}(y) \times F_{G_{S_1 R_m / S_1 PR}}(v_1 y + v_2) dy, \end{aligned} \quad (B.2)$$

where $F_{G_{S_2 R_m / S_2 PR}}(v_5)$ is the CDF of the RV $G_{S_2 R_m / S_2 PR}$ at a value $v_5 = \gamma_{th}/(\alpha_2 Q)$ (see (A.2)).

By using the PDF of the RV $G_{S_2R_m/S_2PR}$ as in (A.3) and the CDF of the RV $G_{S_1R_m/S_1PR}$ as in (23), formula (B.2) is rewritten as

$$\Phi_2 = F_{G_{S_2R_m/S_2PR}}(v_5) - \lambda_2\lambda_4\lambda_5 \sum_{p=0}^M \binom{P}{M} (-1)^p \int_0^{v_5} \frac{dy}{(\lambda_4 + p\lambda_1v_2 + p\lambda_1v_1y)(\lambda_5 + \lambda_2y)^2}. \quad (\text{B.3})$$

Solving (B.3) also by variable transformations as in (A.4), Φ_2 is presented as (25) in Lemma 2.

Case $\varepsilon = 1$: formula (B.1) is performed as

$$\begin{aligned} \Phi_2 &= \Pr \{ (G_{S_1R_m/S_1PR} \geq v_1 G_{S_2R_m/S_2PR} + v_2) \cap (G_{S_2R_m/S_2PR} < v_5 + v_6 g_m) \} \\ &= \int_0^\infty f_{g_m}(t) \int_0^{v_5+v_6t} (1 - F_{G_{S_1R_m/S_1PR}}(v_1y + v_2)) \times f_{G_{S_2R_m/S_2PR}}(y) dy dt. \end{aligned} \quad (\text{B.4})$$

Using the result of (B.2) for the inner integral of (B.4) and the PDF of RV g_m , we have the next result:

$$\begin{aligned} \Phi_2 &= \int_0^\infty \Omega e^{-\Omega t} \left\{ \frac{\lambda_2(v_5 + v_6 t)}{\lambda_5 + \lambda_2(v_5 + v_6 t)} - \lambda_2\lambda_4\lambda_5 \sum_{p=0}^M \binom{P}{M} \frac{(-1)^p}{\lambda_2v_3(p) - \lambda_5v_4(p)} \right. \\ &\quad \left. \times \left(\frac{\lambda_2(v_5 + v_6 t)}{\lambda_5(\lambda_5 + \lambda_2(v_5 + v_6 t))} - \frac{v_4(p)}{\lambda_2v_3(p) - \lambda_5v_4(p)} \ln \left(\frac{(\lambda_5 + \lambda_2(v_5 + v_6 t))v_3(p)}{\lambda_5(v_3(p) + (v_5 + v_6 t)v_4(p))} \right) \right) \right\} dt \\ &= \underbrace{\Omega \lambda_2 \int_0^\infty \frac{(v_5 + v_6 t) e^{-\Omega t}}{\lambda_5 + \lambda_2(v_5 + v_6 t)} dt}_{I_1} - \underbrace{\Omega \lambda_2^2 \lambda_4 \sum_{p=0}^M \binom{P}{M} \frac{(-1)^p}{\lambda_2v_3(p) - \lambda_5v_4(p)} \int_0^\infty \frac{(v_5 + v_6 t) e^{-\Omega t}}{\lambda_5 + \lambda_2(v_5 + v_6 t)} dt}_{I_2} \\ &\quad + \underbrace{\Omega \lambda_2 \lambda_4 \lambda_5 \sum_{p=0}^M \binom{P}{M} \frac{(-1)^p v_4(p)}{(\lambda_2v_3(p) - \lambda_5v_4(p))^2} \int_0^\infty e^{-\Omega t} \ln \left(\frac{(\lambda_5 + \lambda_2(v_5 + v_6 t))v_3(p)}{\lambda_5 \times (v_3(p) + (v_5 + v_6 t)v_4(p))} \right) dt}_{I_3}. \end{aligned} \quad (\text{B.5})$$

We solve sequentially the integrals I_1 , I_2 , and I_3 in (B.5) as

$$I_1 = 1 - \frac{\Omega \lambda_5}{\lambda_2 v_6} e^{(\lambda_5 + \lambda_2 v_5) \Omega / (\lambda_2 v_6)} \Gamma \left(0, \frac{(\lambda_5 + \lambda_2 v_5) \Omega}{\lambda_2 v_6} \right), \quad (\text{B.6})$$

$$\begin{aligned} I_2 &= \lambda_2 \lambda_4 \sum_{p=0}^M \binom{P}{M} \frac{(-1)^p}{\lambda_2 v_3(p) - \lambda_5 v_4(p)} - \Omega \lambda_2 \lambda_4 \lambda_5 \sum_{p=0}^M \binom{P}{M} \\ &\quad \cdot \frac{(-1)^p}{\lambda_2 v_3(p) - \lambda_5 v_4(p)} \int_0^\infty \frac{e^{-\Omega t}}{\lambda_5 + \lambda_2 v_5 + \lambda_2 v_6 t} dt \\ &= \lambda_2 \lambda_4 \sum_{p=0}^M \binom{P}{M} \frac{(-1)^p}{\lambda_2 v_3(p) - \lambda_5 v_4(p)} - \frac{\Omega \lambda_4 \lambda_5}{v_6} \sum_{p=0}^M \binom{P}{M} \\ &\quad \cdot \frac{(-1)^p e^{(\lambda_5 + \lambda_2 v_5) \Omega / (\lambda_2 v_6)}}{\lambda_2 v_3(p) - \lambda_5 v_4(p)} \Gamma \left(0, \frac{(\lambda_5 + \lambda_2 v_5) \Omega}{\lambda_2 v_6} \right), \end{aligned} \quad (\text{B.7})$$

$$\begin{aligned} I_3 &= \lambda_2 \lambda_4 \lambda_5 \sum_{p=0}^M \binom{P}{M} \frac{(-1)^p v_4(p)}{(\lambda_2 v_3(p) - \lambda_5 v_4(p))^2} \\ &\quad \cdot \left\{ \ln \left(\frac{v_3(p)}{\lambda_5} \right) + \Omega \int_0^\infty e^{-\Omega t} \ln \left(\frac{\lambda_5 + \lambda_2(v_5 + v_6 t)}{v_3(p) + (v_5 + v_6 t)v_4(p)} \right) dt \right\} \\ &= \lambda_2 \lambda_4 \lambda_5 \sum_{p=0}^M \binom{P}{M} \frac{(-1)^p v_4(p)}{(\lambda_2 v_3(p) - \lambda_5 v_4(p))^2} \\ &\quad \cdot \left\{ \ln \left(\frac{v_3(p)}{\lambda_5} \right) + \Omega \int_0^\infty e^{-\Omega t} \ln (\lambda_5 + \lambda_2 v_5 + \lambda_2 v_6 t) dt \right. \\ &\quad \left. - \Omega \int_0^\infty e^{-\Omega t} \ln (v_3(p) + v_5 v_4(p) + v_6 v_4(p) t) dt \right\} \\ &= \lambda_2 \lambda_4 \lambda_5 \sum_{p=0}^M \binom{P}{M} \frac{(-1)^p v_4(p)}{(\lambda_2 v_3(p) - \lambda_5 v_4(p))^2} \\ &\quad \cdot \left\{ \ln \left(\frac{(\lambda_5 + \lambda_2 v_5) v_3(p)}{\lambda_5 (v_3(p) + v_5 v_4(p))} \right) + e^{(\lambda_5 + \lambda_2 v_5) \Omega / (\lambda_2 v_6)} \Gamma \right. \\ &\quad \cdot \left(0, \frac{(\lambda_5 + \lambda_2 v_5) \Omega}{\lambda_2 v_6} \right) - e^{(v_3(p) + v_5 v_4(p)) \Omega / (v_6 v_4(p))} \Gamma \\ &\quad \cdot \left(0, \frac{(v_3(p) + v_5 v_4(p)) \Omega}{v_6 v_4(p)} \right) \left. \right\}, \end{aligned} \quad (\text{B.8})$$

where $\Gamma(u, v)$ is the upper incomplete Gamma function ([42], eq. 8.350.2).

Substituting (B.6), (B.7), and (B.8) into (B.5), the probability Φ_2 is analyzed for the case $\varepsilon = 1$ as (26) in Lemma 2. Hence, the lemma is proven completely.

Data Availability

The data used to support the findings of this study are included within the article.

Conflicts of Interest

The authors declare that there is no conflict of interest regarding the publication of this paper.

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